





# Unifying Vision, Text, and Layout for Universal Document Processing

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### **CVPR 2023 (Highlights)**

Paper Tag: THU-AM-264 Paper ID: 8282

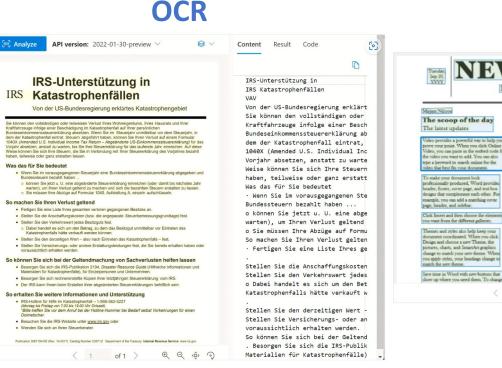
## Agenda

- Document AI Background, Challenges & Motivations
- UDOP Model Architecture
- Pretraining
- Evaluations
- Controllable Document Image Generation
- Analysis

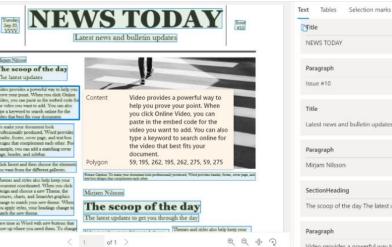
### What is Document AI?

Parse, Analyze, and Understand Documents (receipt, paper, form, etc.)

### Examples:

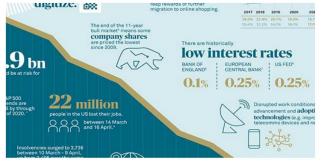


### **Layout Analysis**



# Latest news and bulletin updates The scoop of the day The latest updates Video provides a powerful way to help you prove

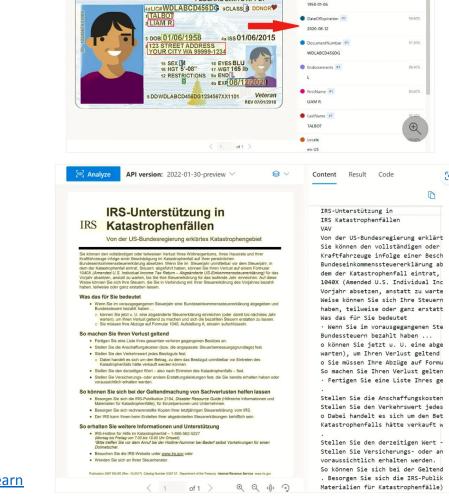
### **Document QA**



What is the interest rates of European Central Bank and US FED?

## **General Pipeline for Document Al**

- 1. Convert unstructured document into structured data
  - Optical Character Recognition
  - PDF converter
  - HTML reader



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FEDERAL LIMITS APPLY

**WAWASHINGTON** 

8

123 STREET ADDRESS YOUR CITY WA 99999-1234

Values Result Code Address #1

CountryRegion

DateOfRith #1

USA

6

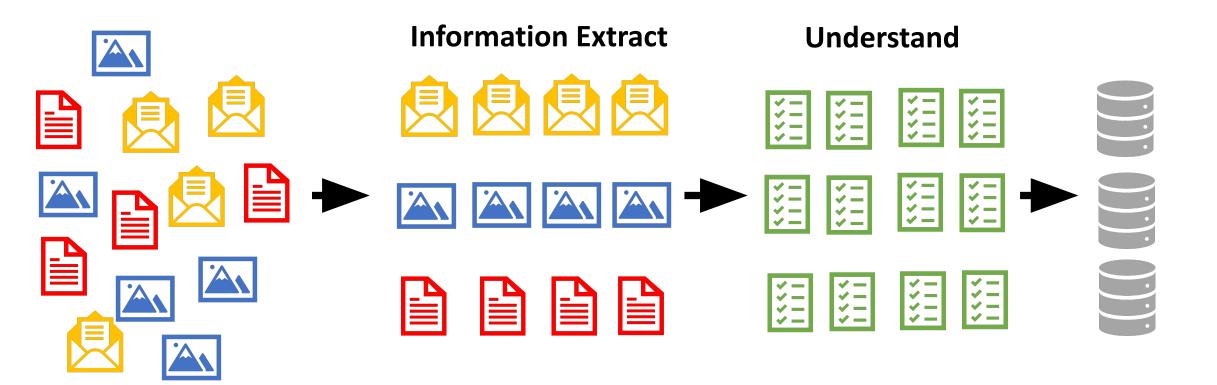
6

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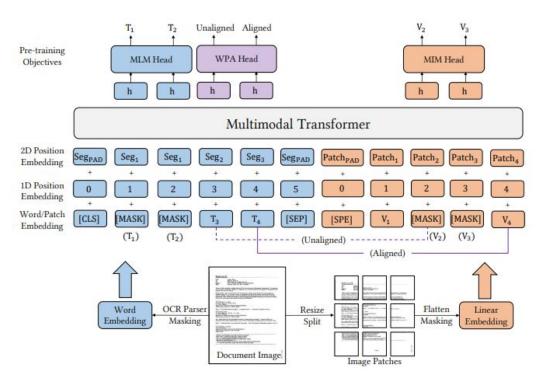
## General Pipeline for Document Al

2. Extract, Classify & Understand Information from Document



### **Previous Works**

### LayoutLM v3



### Donut

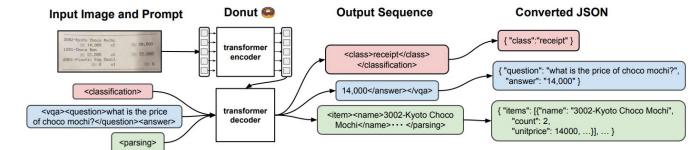


Image source: <u>LayoutLMv3: Pre-training for Document AI with Unified Text and Image Masking (arxiv.org)</u> [2111.15664] OCR-free Document Understanding Transformer (arxiv.org)

1. The correlation between image and text is very strong in document data

Classical Vision-Language text are usually high-level description of the vision data



What color are her eyes? What is the mustache made of?

V.S.

### 1. The correlation between image and text is very strong in document data

#### **Classical Vision-Language**

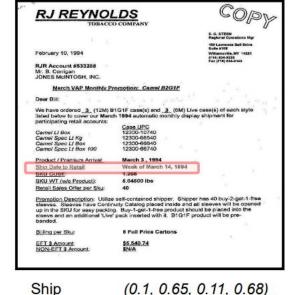
text are usually high-level description of the vision data



What color are her eyes? What is the mustache made of?

#### **Document Al**

1-to-1 correspondence between text tokens and image regions



Ship	(0.1, 0.65, 0.11, 0.68)
Date	(0.12, 0.65, 0.14, 0.68)
to	(0.16, 0.65, 0.18, 0.68)

to

V.S.

### 1. The correlation between image and text is very strong in document data

#### **Classical Vision-Language**

text are usually high-level description of the vision data



What color are her eyes? What is the mustache made of?

#### **Document AI**

1-to-1 correspondence between text tokens and image regions

		S. G. STEEN
1 I I I I I I I I I I I I I I I I I I I		Regional Operations Nor
NAME OF A CONTRACTOR OF A		193 Lawrence Bell Drive Guile #105
February 10, 1994		Witiamzville, WY 14221 (718) 834-9232
RJR Account #533288		Pax (718) #34-3145
Mr. B. Corrigan		
JONES MOINTOSH, INC.		
March VAP Monthly P	romotion: Camel B2G1F	
Dear Bill:		
Dear bisc	All a la galance	1 A A A
We have ordered 3 (12M) I listed below to cover our Man participating retail accounts:	BIG1F case(s) and 3_ (6M) Live th 1994 automatic monthly displa	s case(s) of each style shipment for
Cernel LI Box	Case UPC 12300-10740	
Cernel Spec Lt Kg	12300-66540	
Cornel Spec Lt Box	12300-66640	
Carnel Spec Lt Box 100	12300-68740	
Product / Premium Arrival	March 3 , 1994	
Ship Date to Retail:	Week of March 14, 1994	
SKU CUBE	1.208	
SKU WT (w/o Product):	5.04500 lbs	
Retail Sales Offer per Sku:	40	
sleeves. Sleeves have Contin up in the SKU for easy packing	e self-contained shipper. Shippe nuity Catalog placed inside and a gg. Buy-1-get-1-free product shoi / pack inserted with it. B1G1F pr	Il sleeves will be opene uid be placed into the
Billing per Skur	8 Full Price Cartons	Ť.
	\$5,540,74	
EFT \$ Amount: NON-EFT \$ Amount:	\$N/A	

(0.16, 0.65, 0.18, 0.68)

#### **Previous Document AI works**

- Mostly use 1D or 2D positional embeddings
- Indirect & Insufficient

### 2. Document AI tasks are diverse



April 19, 1990

Patricia Molloy Legal Assistant

Mr. Abner T. Herbert, III 9470 Martin Rd. Roswell, GA 30076

Dear Mr. Herbert:

In accordance with your request, the following are the proponents of Proposals 3 and 4 included in our 1990 Proxy Statement:

Claim to Proposal #3 Beneficially Own Evangelical Lutheran Church in America 8765 West Higgins Road 120,000 shares Chicago, IL Ed Crane, Director Corporate Social Responsibility Proposal #4 (co-sponsored) Adrian Dominican Sisters 1257 East Siena Heights Drive 1.098 shares Adrian, MI Sister Annette M. Sinagra, O.P. Corporate Responsibility Coordinator and Corporate Responsibility Office Province of Saint Joseph of the Capachin Order 1534 Arch Street shares 40 Berkeley, CA (Rev.) Michael H. Crosby, OFMCap 2048180205 Corporate Responsibility Agent Sincerely,

#### **Document Classification:**

What is the type of the document?

#### **Document QA:**

What is the address of Philip Morris Companies Inc?

#### Layout Detection:

Where is the signature?

#### Information Extraction:

Document serial number: 2048180205

#### **Customized Document Image Generation**

. . .

#### **Previous approaches:**

Need a **different** model head for **each** task.

3. Self-supervised pretraining tasks in previous works were not specifically designed for Document AI.

- From single modality pretraining:
- Masked Language Modeling (text)
- Masked Image Modeling (vision)
- From classical VL training:
- Text-Vision contrastive learning

4. Previous works only used unlabeled document data\*. Diverse and abundant supervised data are ignored.

) . . PHILIP MORRIS COMPANIES INC. 120 PARK AVENUE, NEW YORK, NY 10017 - TELEPHONE (212) 880-5000 April 19, 1990 Mr. Abner T. Herbert, III 9470 Martin Rd. Roswell, GA 30076 ear Mr. Herbert: In accordance with your request, the following are the proponents of Proposals 3 and 4 included in our 1990 Proxy Statement: Claim to Beneficially Own Proposal #3 Evangelical Lutheran Church in America 8765 West Higgins Road Chicago, IL 120,000 shares Ed Crane, Director Corporate Social Responsibility Proposal #4 (co-sponsored) Adrian Dominican Sisters 1257 East Siena Heights Drive Adrian, MI 1.098 shares Sister Annette M. Sinagra, O.P. Corporate Responsibility Coordinator and Corporate Responsibility Office Province of Saint Joseph of the Capachin Order 1534 Arch Street Berkeley, CA 40 shares (Rev.) Michael H. Crosby, OFMCap Corporate Responsibility Agent 2048180205 Sincerely, Atricia Mille Patricia Molloy Legal Assistant

#### \*Some works, e.g. LayoutLM, use one anxuliarry task in pretraining

#### Supervised Data

**Document Classification:** What is the type of the document?

#### **Document QA:**

What is the address of Philip Morris Companies Inc?

#### **Layout Detection:**

Where is the signature?

#### Information Extraction:

Document serial number: 2048180205

#### **Document NLI:**

Predict the "entailment" or not between a sentence pair given a document

#### Dataset

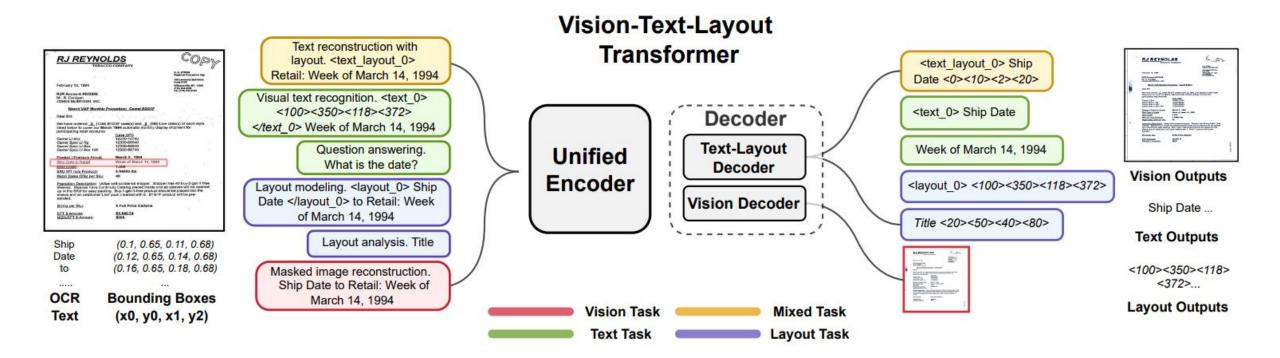
**RVL-CDIP** 

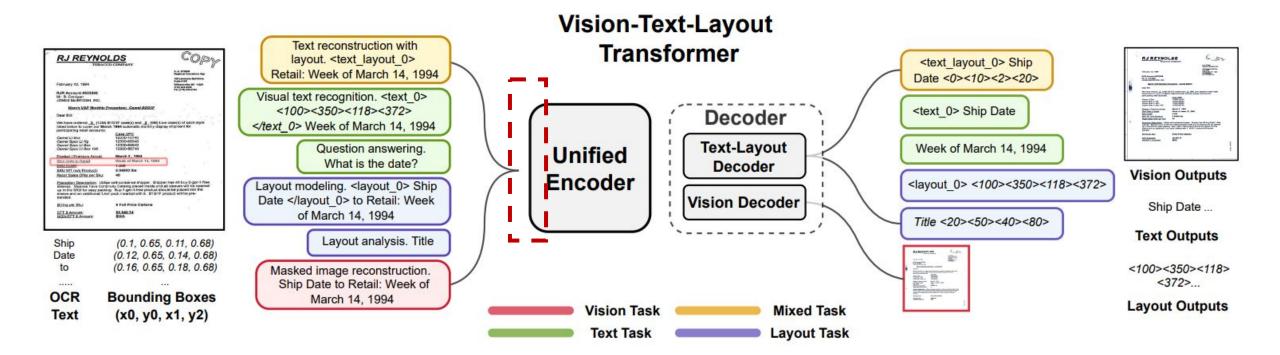
WebSRC, VisualMRC, DocVQA, InfographicsVQA, WTQ

PublayNet

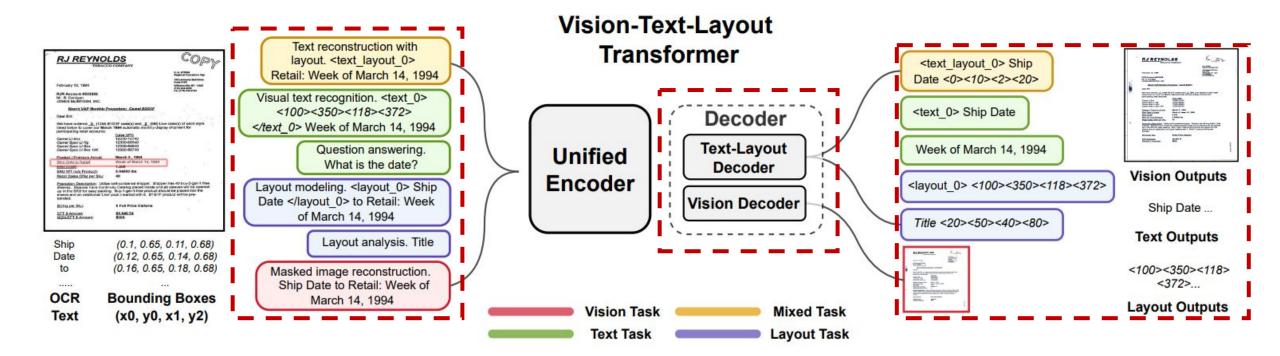
DocBank, KLC, PWC, DeepForm

TabFact

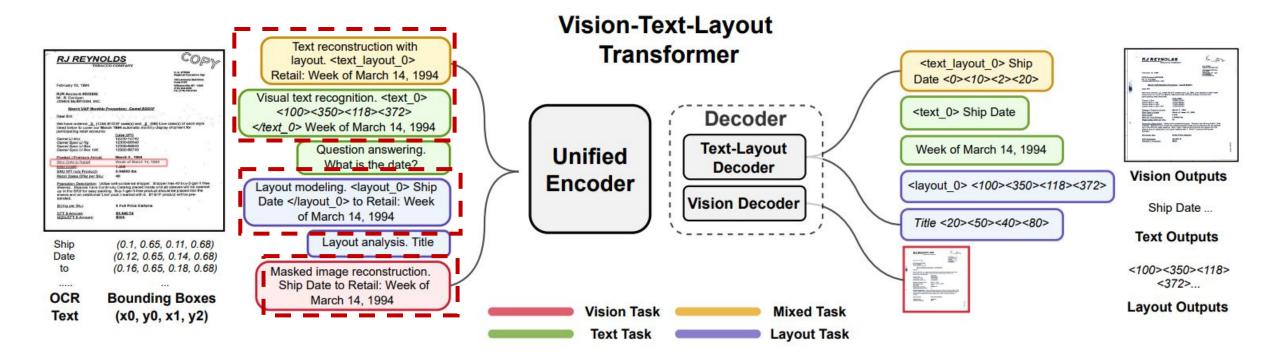




1. The layout-induced vision-text embedding: leveraging the strong correlation between text and vision modality.

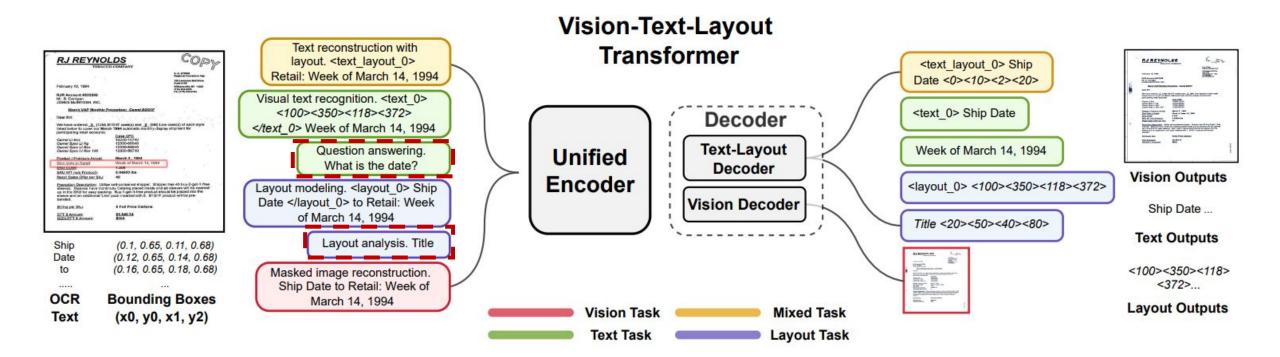


- 2. UDOP (i-Code Doc) is a generative framework
- Can model all existing document **understanding** and document **generation** tasks with **one unified model**

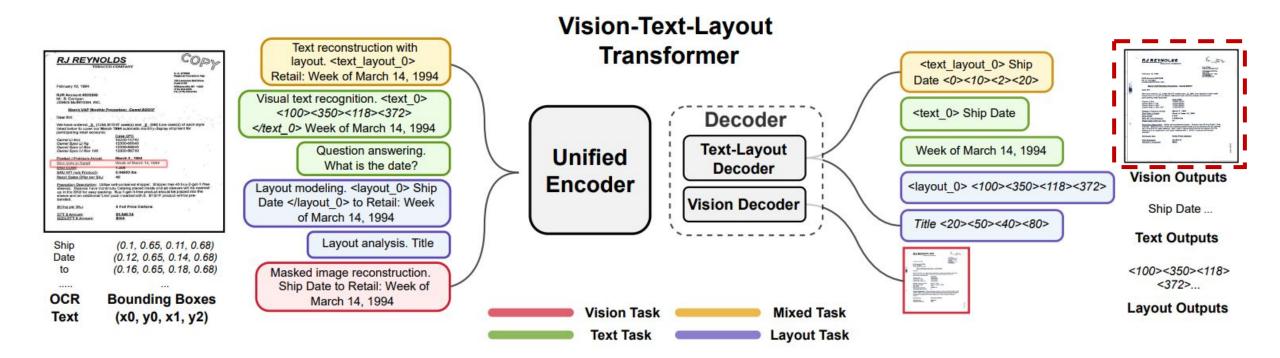


3. Novel pretraining framework

Self-supervised pretraining objectives specifically designed for Document AI



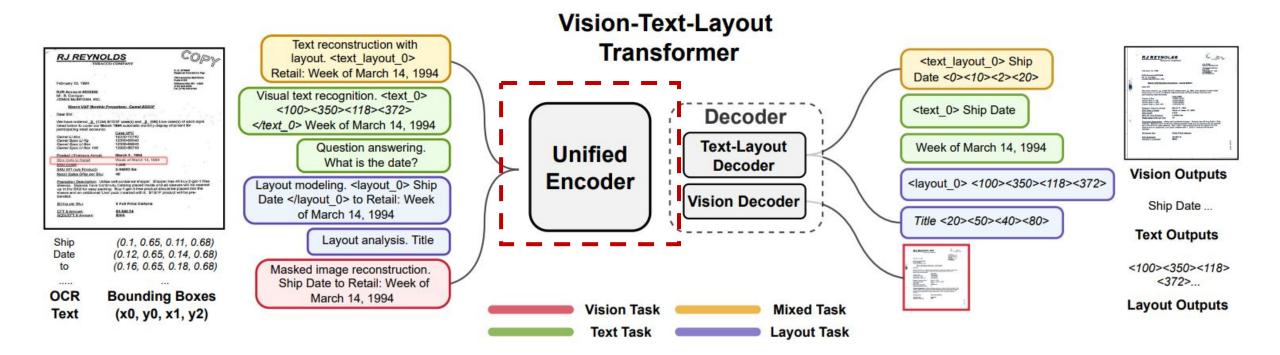
- 3. Novel pretraining framework
- Self-supervised pretraining objectives specifically designed for Document AI
- Included previously-ignored supervised data for pretraining



4. High-quality controllable document generation

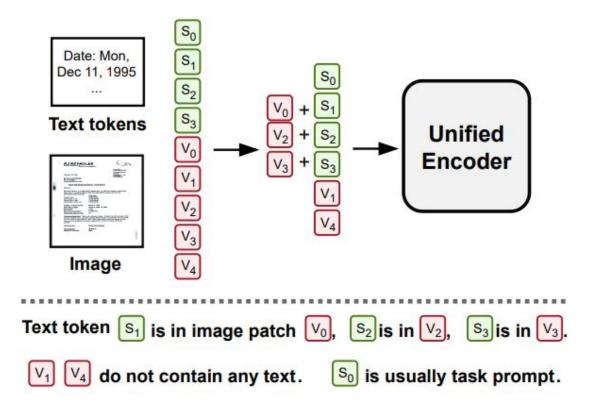
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## A Unified Vision, Text, and Layout Encoder

#### Layout-Induced Vision-Text Embedding



#### **Definition of Notations**

Concretely, given the document image  $v \in \mathbb{R}^{H \times W \times C}$ , M word tokens  $\{s_i\}_{i=1}^{M}$  inside the image and the extracted layout structure  $\{(x_i^1, y_i^1, x_i^2, y_i^2)\}_{i=1}^{M}$ , we first partition vinto  $\frac{H}{P} \times \frac{W}{P}$  image patches, where each patch is of size  $P \times P \times C$ . We then encode each patch with a D-dim vector and group all patch embeddings into a sequence of vectors  $\{v_i \in \mathbb{R}^D\}_{i=1}^{N}$  where  $N = \frac{H}{P} \times \frac{W}{P}$ . Text tokens are also converted to numerical D-dim embeddings  $\{s_i\}_{i=1}^{M}$  by vocabulary look-up.

#### Or formally, first define the layout indicator function

$$\phi(\boldsymbol{s}_i, \boldsymbol{v}_j) = \begin{cases} 1, & \text{if the center of } \boldsymbol{s}_i \text{'s bounding box} \\ & \text{is within the image patch } \boldsymbol{v}_j. \\ 0, & \text{otherwise.} \end{cases}$$

Then for each text token embedding  $s_i$ , the joint representation is the sum of its image patch feature<sup>2</sup> and the text feature:

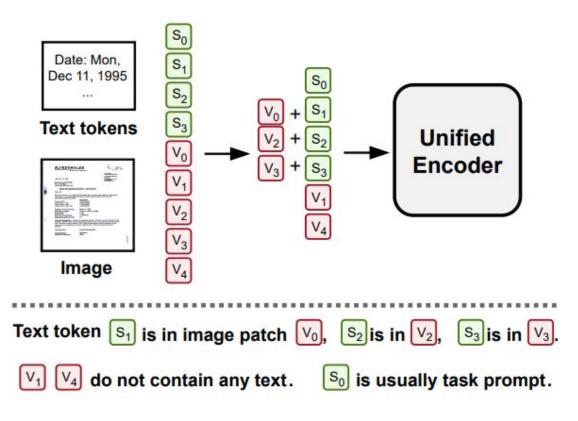
$$s'_i = s_i + v_j$$
, where  $\phi(s_i, v_j) = 1$ .

For image patches  $v_j$  without any text tokens, i.e.  $\forall i, \phi(s_i, v_j) = 0$ , the joint representation,  $v'_j$  is itself:

$$v_j' = v_j.$$

## A Unified Vision, Text, and Layout Encoder

#### Layout-Induced Vision-Text Embedding



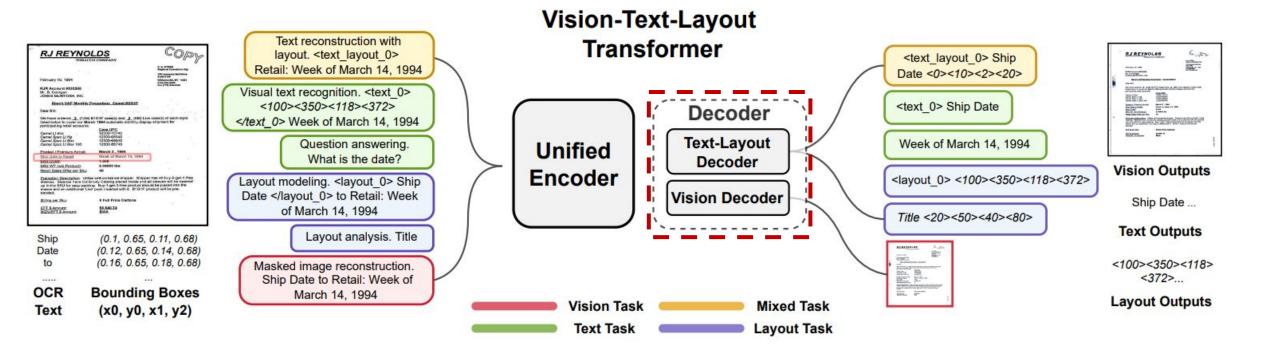
Layout Tokens

Convert bounding box coordinates to discretized tokens

(0.1, 0.2, 0.5, 0.6) □ <50><100><250><300> (Assuming layout vocab size 500)

- Convenient for location detection tasks: layout token generation
- Integrating layout modeling in pretraining

## Vision, Text, and Layout Decoder



Text-Layout Decoder: Generate textual sequence/layout tokens Vision Decoder: Generate document images

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### Self-supervised Pretraining

Use "Ship Date to Retail: Week of March 14, 1994" as example

### (1) Joint Text-Layout Reconstruction

#### **Input Sequence:**

"Joint Text-Layout Reconstruction. <text\_layout\_0> to Retail: Week <text\_layout\_1> March 14, 1994"

#### **Target Sequence:**

"<text\_layout\_0> Ship Date <100><350><118><372> <text\_layout\_1> of <100><370><118><382>"

### (3) Visual Text Recognition

#### **Input Sequence:**

"Visual Text Recognition. <text\_0> <100><350><118> <372> </text\_0> to Retail: Week <text\_1> <100><370> <118><382> </text\_1> March 14, 1994"

#### **Target Sequence:**

"<text\_0> Ship Date <text\_1> of"

### (2) Layout Modeling

#### **Input Sequence:**

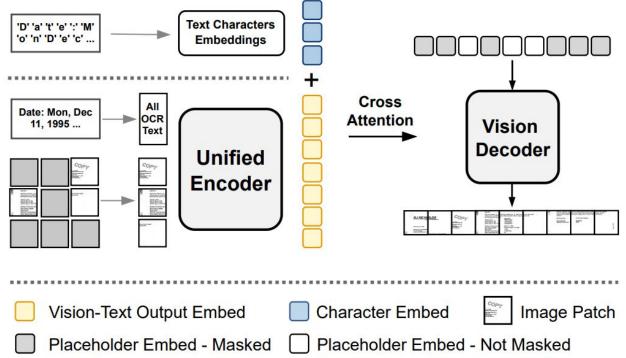
"Layout Modeling. <layout\_0> Ship Date </layout\_0> to Retail: Week <layout\_1> of </layout\_1> March 14, 1994"

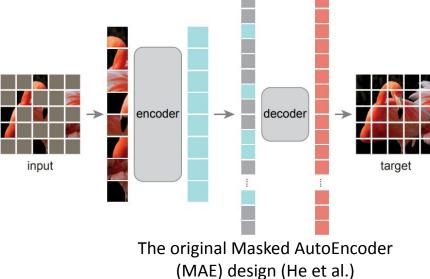
#### **Target Sequence:**

"<layout\_0> <100> <350> <118> <372> <layout\_1> <100> <370> <118> <382>"

### Self-supervised Pretraining

(4) Masked Image Reconstruction with Text and Layout





### Supervised Pretraining: Unifying All Tasks into the Generative Scheme

Supervised Tasks	Task Prompts	Task Targets
Classification	Document Classification. Ship Date to Retail: Week of March 14, 1994	Memo.
Layout Analysis	Layout Analysis. Paragraph.	Paragraph <82><35><150><439>
Information Extraction	Information Extraction. Ship Date to Retail	Week of March 14, 1994
Question Answering	Question Answering. What is the ship year?	1994
Document NLI	Document Natural Language Inference. Ship Date to Re- tail: Week of March 14, 1994	Entailment.

### Supervised Pretraining: datasets

Supervised Data
<b>Document Classification:</b> What is the type of the document?
<b>Document QA:</b> What is the address of Philip Morris Companies Inc?
Layout Detection: Where is the signature?
Information Extraction: Document serial number: 2048180205
<b>Document NLI:</b> Predict the "entailment" or not between

Predict the "entailment" or not between a sentence pair given a document

Da	ta	Se	et

**RVL-CDIP** 

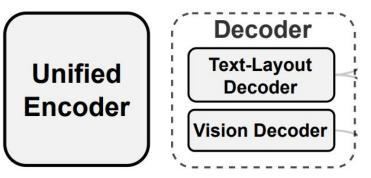
WebSRC, VisualMRC, DocVQA, InfographicsVQA, WTQ

PublayNet

DocBank, KLC, PWC, DeepForm

TabFact

### **Model Configuration:**



- Unified Encoder + Text Layout Decoder: T5 large
- Vision Decoder: MAE-large
- 794M parameters

### **Curriculum Learning:**

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### Evaluation

	Model	Modality	Info	Ext.	Classification
	Woder	woodanty	FUNSD	CORD	<b>RVL-CDIP</b>
NAVER	Donut [21]	V	-	91.6	95.3
Google	BERT <sub>large</sub> [9]	Т	65.63	90.25	89.92
Ŭ	BROS <sub>large</sub> [15]	T+L	84.52	97.40	10.00
CS	StructuralLM <sub>large</sub>	Group T+L	85.14	-	96.08
CAMSCANNER	LiLT [48]	T+L	88.41	96.07	95.68
Google	FormNet [24]	T+L	84.69	97.28	-
MSRA	LayoutLM <sub>large</sub> [53]	T+L	77.89	-	91.90
Adobe	SelfDoc [29]	V+T+L	83.36	-	92.81
Adobe	UniDoc [11]	V+T+L	87.93	96.86	95.05
aws	DocFormer <sub>large</sub> [1]	V+T+L	84.55	96.99	95.50
	TILT <sub>large</sub> [36]	V+T+L	-	96.33	95.52
MSRA	LayoutLMv2 <sub>large</sub> [55]	V+T+L	84.20	96.01	95.64
	LayoutLMv3 <sub>large</sub> [16]	V+T+L	92.08	97.46	95.93
	UDOP	V+T+L	91.62	97.58	96.00

**FUNSD** (Form Understanding in Noisy Scanned Documents [18]) has 149 and 50 samples for train and test. We evaluate on the entity recognition task: predicting the entity, "question", "answer", "header", or "other", for the text token. The task format is, suppose we have the title, "The Title", and its entity "[I-Header]", then the encoder input is "The Title" and the generation target is "The Title [I-Header]". The metric is F1 scores.

**CORD** (Consolidated Receipt Dataset for Post-OCR Parsing) [33] is a key information extraction dataset with 30 labels under 4 categories such as "total" or "subtotal". It has 1,000 receipt samples. The train, validation, and test splits contain 800, 100, and 100 samples respectively. The metric is F1 and the task format is the same as FUNSD.

**RVL-CDIP** is the document classification dataset that we have discussed previously. It has 320k/40k/40k images for training/validation/test. The metric is classification accuracy.

## Evaluation

-	Model	Modality	Question A	Answering	Info	rmation	Extraction	Table	QA/NLI	Avg.
	litouer	modulity	DocVQA	InfoVQA	KLC	PWC	DeepForm	WTQ	TabFact	11.8.
NAVER	Donut [21]	V	72.1	-	-	-	-	-	-	-
Google	BERT <sub>large</sub> [9]	Т	67.5	-	-	-	-			-
	T5 <sub>large</sub> [39]	Т	70.4	36.7	74.3	25.3	74.4	33.3	58.9	50.7
	T5 <sub>large</sub> +U [36]	Т	76.3	37.1	76.0	27.6	82.9	38.1	76.0	56.5
	T5 <sub>large</sub> +2D [36]	T+L	69.8	39.2	72.6	25.7	74.0	30.8	58.0	50.4
	T5 <sub>large</sub> +2D+U [36]	T+L	81.0	46.1	75.9	26.8	83.3	43.3	78.6	59.8
EL.	LAMBERT [10]	T+L	-	-	81.3	-	- 1	-	-	-
<b>Alibaba</b> Group	StructuralLM <sub>large</sub> [26]	T+L	83.9	-	-	-	-	-	-	-
MSRA	LayoutLMv2 <sub>large</sub> [55]	V+T+L	78.8	-	-	-		-	-	-
MSRA	LayoutLMv3 <sub>large</sub> [16]	V+T+L	83.4	45.1	77.1	26.9	84.0	45.7	78.1	62.9
_	UDOP	V+T+L	84.7	47.4	82.8	28.0	85.5	47.2	78.9	64.8

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**Original Document** 

	PHILIP M COMPANIES 120 PARK AVENUE, NEW YORK, NY 10017	INC.	5000	
		April 19, 1	990	
Mr. Abner T 9470 Martin Roswell, GA		3		
Dear Mr. He	rbert:			
In accordan proponents Statement:	ce with your reques of Proposals 3 and	st, the foll 4 included	owing are the in our 1990 Proxy	
Proposal	<u>#3</u>		Claim to Beneficially Own	
Evangelic 8765 West Chicago,	al Lutheran Church Higgins Road IL	in America	120,000 shares	
	Director Social Responsibil	Lity		
Adrian Do 1257 East Adrian, M			1,098 shares	
	nette M. Sinagra, ( Responsibility Cod			
	and			
Corporate Province 1534 Arch Berkeley,		fice the Capachi	n Order 40 shares	
	chael H. Crosby, O Responsibility Age			20
		Sincerely, Patricia Patricia Mo Legal Assis		2048180205

Edited Document With Customized Content

**Original Document** 

Mr. William J. Halley.

Page Two.

According to scientific test results in our files as recent as two weeks ago, the smoke of Kent cigarettes does not contain significantly less nicotime or tar than the smoke from other filter tip cigarettes and, insofar as the claim "cleans" the smoke is concerned, contains substantial quantities of both nicotime and tars. The same test results indicate that the smoke from Kent cigarettes does not contain significantly less nicotime than another popular brand of non-filter cigarette and contains more tar in the smoke as compared to the smoke of the same non-filter popular brand cigarette. In view of this information, we question the propriety of the themes above referred to in the advertising for Kent cigarettes.

It is our view that smokers expect from advertising claims comparing the merits of filters and filtration effectiveness that they will benefit physically and to the degree claimed or implied in the advertising by the advertised brand.

We have further noted in connection with your advertising of Old Gold filter cigarettes the claim "one of the finest filters known to science."  $\frac{1}{4}$ Our information indicates that five other filter brands contain less nicotine and tars in the smoke than Old Gold filter cigarette smoke contains. We therefore question this claim under Cuide 2.

We are writing you in a spirit of cooperation to inquire what your company's diskposition and policy will be with respect to these and similar claims for the Kent and Old Gold cigarettes.

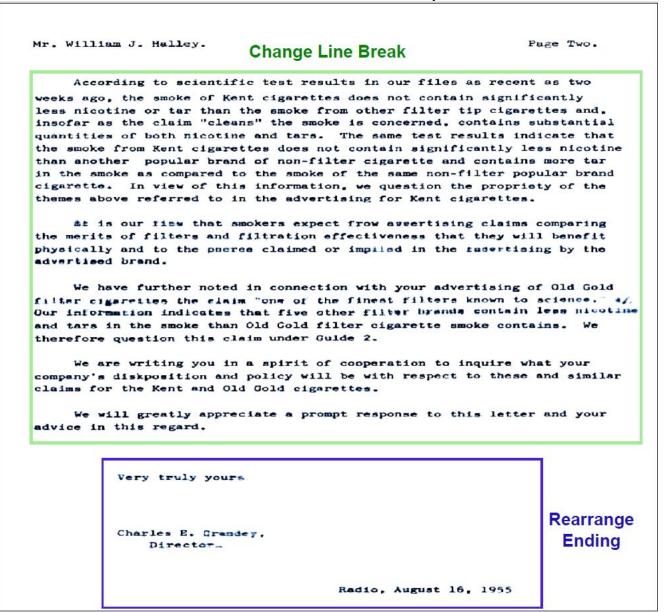
We will greatly appreciate a prompt response to this letter and your advice in this regard.

Very truly yours,

Charles E. Grandey, Director.

4/ Radio, August 16, 1955

#### **Edited Document Layout**



Original Document	Edited Document
SIDESTREAM VISIBILITY ID         (# _1420_ )         Requestor: John Paine Date: 910410 Paper Code Number XHVV-4A         Paper Filler       .303 nominal 9040-396, Band ground sample of Northupite,         Na, Hg(CQ), C1. Coarse surface on paper due to filler. Opacity Bl         Basis Weight (g/m <sup>2</sup> )       45.2         Siting Agente (type and level)       Basis Weight (g/m <sup>2</sup> )         Gross-reference Similar Models       Comments: By analysis: Na 3.92, My 3.81, K 3.165 (passible leaching of N*).	SIDESTREAM VISIBILITY ID         (# _1420_ )         Requestor: John Paine Date: 910410 Paper Code NumberAUVy-4A         Paper Filler
Tobacco Filler	Tobacco FillerBXT       Filter _DAy       Cigarette Weight _1011         Method (check one)       Other       Rizla Super       X       Rizla Luxury         Date Cigarettes Prepared _1/16/91       Number prepared _1       By _J.W.         SINGLE-FORT UDOF SIDESTRREAM DATA       Modify Subtitle         R = _1 * Attenuation _25       Static Dura Time (min.) 10.0 \$.D1.0         Extinction Coefficient _0.29       \$.D0.00       EC x SBT _2.30         Ash: Adhesion _1       Color _4       Fall Off _2       Solidity _3         Analyst       Dates Analyzed

				0	rigin	al D	ocu	mer	nt									Ed	ited	Do	cum	ent				
50565 8897			COST PER COMP. CARTON		12.96	19,11	14,50	26.29	11.11	23,33	158.73		50565 8897			2	CONP. CARTON		12.96	19,11	14,50	26,29	11,11	23.33		158.73
			COST PER CARTON	.75	1.28	1.91	1.45	2.63	1,10	2.33	71,43						COST PER CARTON	.75	1,28	1,91	1.45	2.63	1.10	2.33		71.43
SALEM PRONOTION TEFLEULIVENESS REVIEW			REDEMPTION Est, Actual	70.0 55.3	8,0/5,5 3,3/1,7	5.5 [3.6]	8.0/5.5 3.7/2.2	8.5 4.9	5.5/3.5 2.7/1.4	6.0/4.0 2.7/11.6	7.0 6.4 20.0 <u>13.0</u> 22.0 <u>18.3</u>	Rep		Title	9		REDEMPTION EST. SACTUAL	5'55 Ta	8.0/5.5 <b>e</b> 3.3/1.7	5.5 3.6	8.0/5.5 3.7/2.2	8.5 4.9	5.5/3.5 2.7/1.4	6.0/4.0 2.7/1.6		7.0 6.4 20.0 <u>13.0</u> 22.0 <u>18.3</u>
PROMOTION'E			TIMING	1/85	11/85 8	11/85	11/85 8	11/85	11/85	12/85	11/85		SALEM PRONOTION USEFLLINEES				TIMING	1/85	11/85 8	11/85	11/85 8	11/85	11/85	12/85		11/85
SALEM	II. PROGRAM REVIEW - 1985	B. PROGRAM PERFORMANCE - 1985	VOLUME GENERATION	<ul> <li>\$1,00/CTN. BOUNCEBACK</li> </ul>	• \$1,50 & 3-\$.75/CTN, BFD INSERT	<ul> <li>4-\$1,50/CTN, Solo FS1</li> </ul>	<ul> <li>\$1,50-3-\$,75/CTN. C0-0P FS1</li> </ul>	<ul> <li>4-\$2,00/CTN, SOLD FSI</li> </ul>	<ul> <li>\$1,00 &amp; 3-\$,50/CTN CO-OP FSI</li> </ul>	• \$2.00 & 2-\$1.00/CTN. SoLo FSI	<u>Targetedu.Gronth</u> Free Pack Magazine Pop-UP Free-in-the-mail Premium Offer Free Carton Bounceback (SALEM Box)	- Mi Ing		_	11. PROGRAM REVIEW - 1985	B. PROGRAM PERFORMANCE - 1985	VOLUME GENERATION	• \$1,00/CTN. BOUNCEBACK	• \$1,50 & 3-\$,75/Ctn, BFD Insert	<ul> <li>4-\$1,50/CTN, SOLO FS1</li> </ul>	\$1,50-3-\$.75/CTN. CO-OP FS1	<ul> <li>4-\$2,00/CTN, SOLO FSI</li> </ul>	\$1,00 & 3-\$,50/CTN CO-OP FSI	<ul> <li>\$2,00 &amp; 2-\$1,00/CTN, Solo FSI</li> </ul>	TARGETED. GROWTH	<ul> <li>FREE PACK MAGAZINE POP-UP FREE-IN-THE-MAIL PREMIUM OFFER FREE CARTON BOUNCEBACK (SALEM BOX)</li> </ul>

# Agenda

- Document Al Background, Challenges & Motivations
- Model Architecture
- Pretraining
- Evaluations
- Controllable Document Image Generation
- Analysis

### Answer Localization for Document QA

#### Hindawi / Blog / Blog Post

SciencePod

Latest from our journals

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Understanding the most powerful magnets in the universe



New study examines bizarre workings of rare type of magnetic star.

Neutron stars – 'dead' stars left over when a giant star collapses – are some of the densest objects in the universe. Young, spinning neutron stars, known as magnetars, can have magnetic fields 1,000 trillion times stronger than Earth's. These rare stars, of which 29 are currently known, include a group that is rarer.

A new study, "Observations of Radio Magnetars with the Deep Space Network", published in Hindawi's open access journal *Advances in Astronomy*, has used a network of space telescopes to look in detail at three of the four known radio magnetars and one magnetar candidate, a star showing some magnetar-like behaviour.

The Deep Space Network (DSN), an array of radio telescopes located in California, Spain and Australia, is mostly used by NASA to track spacecraft – but the telescopes are sometimes used to study other objects in the sky too.

Study authors, Aaron B. Pearlman, Walid A. Majid and Thomas A. Prince from the California Institute of Technology in Pasadena used the DSN to monitor the emission from three radio magnetars and a magnetar candidate over more than a year. They found that the pulsations from these magnetars varied greatly during the observation time.

### Question 2: How many magnetars are known to people?

Answer 2: 29

### **Region of Interest 2**

Note that VisualMRC dataset (the shown example) only provides paragraph-level answer locations

### Question 1: Where is the DSN located?

Answer 1: California, Spain and Australia.

**Region of Interest 1** 

### How effective is the vision modality?

#### DocVQA

Charles A. Blixt	71614
	/ 1014
Mailing Address (If applicable)	Extension Number
Sr. VP/GC	(910) 741-0673
11803	

Q: What is the Extension Number as per the voucher? GT: (910) 741-0673

#### The answer can be found in OCR annotations

#### Infographic VQA (InfoVQA)

#### WHO EMPLOYS THE MOST DELIVERY WORKERS? 10,000 7,500 12,000 3,500 53.000 CANADA POST AMAZON FEDEX UPS DHL Amazon earned a large portion of media attention despite its smaller workforce MEDIA COVERAGE BY CANADA'S LARGEST CITIES CANADA POST Canada Post and . AMAZON Amazon received more than double the coverage of other ONTREAL delivery services mazon had the mos coverage in Toronto Ottawa and Calgary, al ions of fulfillmen center TORONTO CALGARY OTTAWA How many companies have more than 10K delivery workers? Answer: 2 **Evidence:** Figure Answer-source: Non-extractive Operation: Counting Sorting

Who has better coverage in Toronto - Canada post or Amazon?Answer: canada postEvidence: TextAnswer-source: Question-spanImage-spanOperation: none

In which cities did Canada Post get maximum media coverage?Answer: vancouver, montrealEvidence: TextAnswer-source:Multi-spanOperation:none

Figure 1: Example image from InfographicVQA along with questions and answers. For each question, source of the answer, type of evidence the answer is grounded on, and the discrete operation required to find the answer are shown.

Model	DocVQA	InfoVQA	
UDOP	84.7	47.4	
UDOP w/o Image Embeds	84.4	45.0	

Yes, the vision modality is effective on vision-dependent dataset

### Ablation Study

### Self supervised pretraining is already **competitive**:

Table 8. Ablation study on pretraining objectives. Performance is reported on validation sets.

Pretrain Objectives	#Pretrain Data	DocVQA	RVL-CDIP	FUNSD	CORD
MLM	11.0M	79.7	95.3	90.2	96.7
<b>UDOP-Dual</b>					
Self-Supervised	11.0M	83.5	95.8	91.5	97.2
+ Supervised	12.8M	84.1	96.1	91.5	97.3
UDOP					
Self-Supervised	11.0M	84.4	96.2	91.0	97.2
+ Supervised	12.8M	85.0	96.3	91.9	97.4

Model	Modality	Info Ext.		Classification	
	Wodanty	FUNSD	CORD	RVL-CDIP	
Donut	V	_	91.6	95.3	
BERT <sub>large</sub>	Т	65.63	90.25	89.92	
BROS <sub>large</sub> [15]	T+L	84.52	97.40	-	
StructuralLM <sub>large</sub>	T+L	85.14	-	96.08	
LiLT [47]	T+L	88.41	96.07	95.68	
FormNet [23]	T+L	84.69	97.28	-	
LayoutLM <sub>large</sub>	T+L	77.89	-	91.90	
SelfDoc	V+T+L	83.36	- L.,	92.81	
UDoc	V+T+L	87.93	98.94	95.05	
DocFormer <sub>large</sub> [1]	V+T+L	84.55	96.99	95.50	
TILT <sub>large</sub>	V+T+L	-	96.33	95.52	
LayoutLMv2 <sub>large</sub>	V+T+L	84.20	96.01	95.64	
LayoutLMv3 <sub>large</sub>	V+T+L	92.08	97.46	95.93	
UDOP-Dual	V+T+L	91.20	97.64	96.22	
UDOP	V+T+L	91.62	97.58	96.00	

### Conclusion

- Unified representations and modeling for vision, text and layout modalities in document AI.
- Unified all document tasks to the **seq2seq generation** framework.
- Combined novel self-supervised objectives with supervised datasets in pretraining for unified document pretraining.
- UDOP can process and **generate text**, vision, and layout modalities together, which to the best of our knowledge is first one in the field of document AI.