





#### Are Deep Neural Networks SMARTer than Second Graders?











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<u>CVPR, 2023</u>

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#### In the recent times, ...



ChatGPT



Solving University Level Math ..." Drori et al., PNAS, 2022



AlphaGo



Neural Algorithmic Inference, Fawzi et al., Nature, 2022



Imagen



Make-a-Video









Prompt: "Mickey mouse goes for a vacation in Potato Land" Created using MidJourney

# Are we there (yet) in achieving artificial general intelligence?

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### Key Questions

- 1. How well do deep neural models perform on tasks that need broad skills?
- 2. Do they transfer knowledge to solve new problems?
- 3. How fluid are they in acquiring new skills?
- 4. How effective are they in the use of language for *algorithmic* reasoning?



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#### How should we go about answering the above questions? Where should we start?



### Key Questions

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Are state-of-the-art deep neural networks capable of emulating the thinking process of <u>even young children</u>?



#### Our Contributions

# Are state-of-the-art deep neural networks capable of emulating the thinking process of <u>even young children</u>?

#### We propose SMART: Simple Multi-modal Algorithmic Reasoning Task

The task is to design deep neural networks that have foundational skills to effectively *analyze, interpret, and solve* simple algorithmic reasoning puzzles and generalize to new problems.



#### Our Contributions

Are state-of-the-art deep neural networks capable of emulating the thinking process of <u>even young children</u>?

#### We propose SMART: Simple Multi-modal Algorithmic Reasoning Task

- The task is to design deep neural networks that have foundational skills to effectively *analyze, interpret, and solve* simple algorithmic reasoning puzzles and generalize to new problems.
- We propose the **SMART-101 dataset** consisting of: 101 distinct vision & language children's puzzles.
- Using SMART-101 dataset, we show that current large language models and visual processing pipelines do not generalize well to tasks that need broad reasoning skills.



### SMART: Simple Multi-modal Algorithmic Reasoning Task

• The puzzles in the SMART-101 dataset are taken from Math Kangaroo (MK) USA Olympiad

> An annual math competition for school kids from  $1-12^{th}$  grade.

- We selected puzzles from 2012-2021 competitions designed for children of first and second grades (6 – 8 age group)
- We used only "simple" puzzles from the MK competitions.



## Math Kangaroo USA

since 1998

International Competition in Mathematics



### SMART: Simple Multi-modal Algorithmic Reasoning Task



**Question:** Sparoow Jack jumps on a fence from one post to another. Each jump takes him 1 second. He makes 4 jumps ahead and then 1 jump back. Then he again makes 4 jumps ahead and 1 back, and so on. In how many seconds does Jack get from start to finish? **Answer Options:** A: 10 B: 11 C: 12 D: 13 E: 14

**Original MK Puzzle** 



#### Programmatic Augmentation of Puzzles

Original MK Puzzle



**Question:** Sparoow Jack jumps on a fence from one post to another. Each jump takes him 1 second. He makes 4 jumps ahead and then 1 jump back. Then he again makes 4 jumps ahead and 1 back, and so on. In how many seconds does Jack get from start to finish? **Answer Options:** A: 10 B: 11 C: 12 D: 13 E: 14 Programmatically Created Puzzle



**Question:** Bird Bobbie jumps on a fence from the post on the left end to the other end. Each jump takes him 4 seconds. He makes 4 jumps ahead and then 1 jump back. Then he again makes 4 jumps ahead and 1 jump back, and so on. In how many seconds can Bobbie get from one end to the other end? **Answer Options:** A: 64 B: 48 C: 56 D: 68 E: 72

An example puzzle from the SMART-101 dataset produced using our programmatic augmentation method.



### Programmatic Puzzle Generation/Augmentation

MK Question:

Sparoow Jack jumps on a fence from one post to another. Each jump takes him 1 second. He makes 4 jumps ahead and then 1 jump back. Then he again makes 4 jumps ahead and 1 back, and so on. In how many seconds does Jack get from start to finish?

MK Puzzle

Augmented Question:

A bird jumps from the post on one end to the other end on a fence, and there are 27 posts in total. He needs 1 second for each jump. He makes 8 jumps ahead and then 5 jumps back. Then he again makes 8 jumps ahead and 5 jumps back, and so on. In how many seconds can the bird get from one end to the other end?

Options: A: 90 B: 86 C: 83 D: 84 E: 87









#### Programmatic Puzzle Generation/Augmentation

Dataset	Involve language	Dataset size	Task nature
Bongard-LOGO [45]	×	12K	few-shot concepts, abstract shape reasoning
Bongard-HOI [32]	×	53K	few-shot concepts, human-object interaction
ARC [12]	×	800	generate image based on abstract rules
Machine Number Sense [66]	×	280K	solving arithmetic problems
RAVEN [64]	×	70K	finding next image in sequence
Image riddles [5]	✓(fixed question)	3333	finding common linguistic descriptions
VLQA [54]	✓ (variable questions)	) 9267	spatio-temporal reasoning, info lookup, mathematical, logical, causality, analogy, etc.
PororoQA [34]	✓ (variable questions)	) 8913	reason from cartoon videos about action, person, abstract, detail, location, etc.
CLEVR [33]	✓ (variable questions)	) 100K	exist, count, query attributes, compare integers/attribute
SMART-101 (ours)	✓ (variable questions)	) 200K	8 predominant algorithmic skills and their compositions (see Figure 2)









## Augmented Puzzles: Spatial Reasoning Class

MK Question: Mary made a shape using some white cubes and 14 gray cubes. many of these gray cubes cannot be seen in the picture?



Augmented Puzzle

Augmented Question:

Melissa created a shape using some red blocks and 12 gray blocks. How many of these gray blocks are not visible in the image?

Options: A: 4 B: 0 C: 7 D: 9 E: 5





#### Augmented Puzzles: Arithmetic Class

MK Question: What should you put in the square on the bottom to get a correct diagram?



Augmented Puzzle



Augmented Question:

What should you put in the square to get a correct diagram?

Options: A: -6 B: +4 C: +9 D: /6 E: x5









### **Experiments and Results**





### SMART101 - Data Splits

#### 1. Puzzle Split (PS) : Extreme Generalization

- Split 101 puzzles to 77 for training, 3 for validation, and 21 for test.
- We use 300 instances in the 21 puzzles in the test set to report performance

#### 2. Few-shot Split (FS): m-shot Generalization

• Use m=10 instances of the 21 puzzles during training, along with the instances in the 77 puzzle-training set in PS

#### 3. Instance Split (IS): Supervised Learning

- Supervised training setting
- Use 80% of all instances from every puzzle for training, 5% used in validation, and 15% for test.

#### 4. Answer Split (AS) : Answer Generalization

• We remove the median answer from all correct answers for every puzzle instance from training set and test only on these removed questions.



### SMART101 – Evaluation Metrics

#### *S<sub>acc</sub>*: Solution Accuracy

• Out of the discretized answer range, what is the accuracy in selecting the correct answer?

#### *O<sub>acc</sub>*: Option Selection Accuracy

• Among five candidate answers, what is the accuracy in selecting the correct answer?

**Example:** Let's say a model produced an answer 8, but the correct answer is 9. If 8 is not in the set of candidate answers, but the closest to 8 is 9, and thus selected 9, then correct option will be selected even if the wrong answer is produced. In this case, its  $O_{acc} = 100\%$ , while its  $S_{acc} = 0\%$ .



### Quantitative Comparisons: Generalization Experiments

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average				
	Puzzle Split (PS) – Extreme Generalization Experiments												
Avg. 2 <sup>nd</sup> Grader Performance	72.8	81.3	82.2	81.1	64.5	90.4	74.8	88.6	77.1				
Greedy (baseline)	19.1/21.4	14.0/21.4	18.5/21.1	21.8/21.1	13.5/21.5	23.1/20.9	18.2/21.2	21.4/21.4	17.7/21.3				
Uniform (baseline)	7.74/20.0	8.00/20.0	7.61/20.0	18.9/20.0	6.94/20.0	5.62/20.0	14.2/20.0	20.0/20.0	11.20/20.0				
MAE + BERT	7.2/12.0	3.3/23.1	10.4/34.1	9.6/22.0	7.3/14.7	3.7/15.2	8.5/16.5	2.6/16.4	7.21/19.1				
SimSiam + BERT	6.4/18.4	4.8/20.9	7.7/41.4	2.5/22.2	4.2/25.3	7.9/20.5	11.8/22.2	0.2/17.2	6.41/23.9				
$Swin_T + BERT$	810.5/17.3	4.7/24.7	5.6/29.3	11.4/21.5	6.5/16.8	10.3/23.3	11.9/16.3	17.3/19.1	9.25/20.1				
ViT-16 + BERT	9.41/22.7	5.77/26.8	6.95/25.1	4.72/18.7	5.57/15.1	8.68/21.3	11.6/21.5	18.9/19.7	8.51/21.6				
CLIP	9.1/15.7	1.4/18.5	7.4/30.6	14.2/21.4	7.5/18.6	8.9/22.2	12.4/18.4	19.0/19.6	11.9/24.1				
FLAVA	8.3/20.2	4.0/22.2	8.1/31.3	9.5/20.3	3.1/22.2	19.0/32.0	9.7/18.1	20.9/21.2	7.21/19.0				
R50 + BERT (FT + Cls.)	10.9/18.3	6.96/15.8	12.8/20.8	19.6/19.7	7.95/15.1	16.9/26.7	13.4/17.7	0.0/21.2	11.7/18.9				
R50 + BERT (FT + Reg.)	12.0/22.8	5.08/21.3	4.24/16.2	18.4/18.4	4.89/22.2	15.1/25.9	11.9/17.9	19.0/19.0	8.21/19.7				

Second grader accuracy is computed over the responses from nearly 3000 kids who took the MK Olympiad in 2020-2021



### Quantitative Comparisons: State-of-the-Art Experiments

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Puzzle Spl	it (PS) – Ext	reme General	ization Expe	riments			
Avg. $2^{nd}$ Grader Performance	72.8	81.3	82.2	81.1	64.5	90.4	74.8	88.6	77.1
Greedy (baseline)	19.1/21.4	14.0/21.4	18.5/21.1	21.8/21.1	13.5/21.5	23.1/20.9	18.2/21.2	21.4/21.4	17.7/21.3
Uniform (baseline)	7.74/20.0	8.00/20.0	7.61/20.0	18.9/20.0	6.94/20.0	5.62/20.0	14.2/20.0	20.0/20.0	11.20/20.0
MAE + BERT	7.2/12.0	3.3/23.1	10.4/34.1	9.6/22.0	7.3/14.7	3.7/15.2	8.5/16.5	2.6/16.4	7.21/19.1
SimSiam + BERT	6.4/18.4	4.8/20.9	7.7/41.4	2.5/22.2	4.2/25.3	7.9/20.5	11.8/22.2	0.2/17.2	6.41/23.9
Swin_T + BERT	810.5/17.3	4.7/24.7	5.6/29.3	11.4/21.5	6.5/16.8	10.3/23.3	11.9/16.3	17.3/19.1	9.25/20.1
ViT-16 + BERT	9.41/22.7	5.77/26.8	6.95/25.1	4.72/18.7	5.57/15.1	8.68/21.3	11.6/21.5	18.9/19.7	8.51/21.6
CLIP	9.1/15.7	1.4/18.5	7.4/30.6	14.2/21.4	7.5/18.6	8.9/22.2	12.4/18.4	19.0/19.6	11.9/24.1
FLAVA	8.3/20.2	4.0/22.2	8.1/31.3	9.5/20.3	3.1/22.2	19.0/32.0	9.7/18.1	20.9/21.2	7.21/19.0
R50 + BERT (FT + Cls.)	10.9/18.3	6.96/15.8	12.8/20.8	19.6/19.7	7.95/15.1	16.9/26.7	13.4/17.7	0.0/21.2	11.7/18.9
R50 + BERT (FT + Reg.)	12.0/22.8	5.08/21.3	4.24/16.2	18.4/18.4	4.89/22.2	15.1/25.9	11.9/17.9	19.0/19.0	8.21/19.7
			Few-Shot S	plit (FS) Expe	eriments				
R50 + BERT (Cls.)	23.9/37.3	32.7/41.2	32.7/40.7	22.1/22.2	10.2/27.5	17.4/32.8	28.4/35.3	33.9/33.9	24.4/33.4
R50 + BERT (Reg.)	19.8/33.6	13.9/26.3	18.2/26.9	18.7/18.7	10.3/24.4	11.6/25.8	20.8/29.8	21.9/22.3	16.7/26.5



#### Quantitative Comparisons: Supervised Experiments

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Instance S	Split (IS) – S	upervised Lea	rning Experi	iments			
Greedy (baseline)	21.7/22.6	8.97/21.5	18.5/21.0	22.7/21.2	10.2/21.1	12.8/21.1	22.3/21.3	20.6/21.3	17.3/21.6
Uniform (baseline)	9.41/20.0	3.65/20.0	7.91/20.0	11.1/20.0	5.01/20.0	3.63/20.0	15.5/20.0	16.7/20.0	8.41/20.0
Swin-T + Emb.	23.1/35.1	33.7/41.0	20.3/28.8	16.7/18.6	17.7/29.5	26.3/34.3	24.5/29.1	17.5/26.5	22.5/30.8
Swin-B + Emb.	22.0/34.0	29.4/36.5	17.7/26.1	16.7/17.0	17.1/30.2	25.0/34.2	26.2/30.7	21.5/29.6	21.6/29.9
Cross-Transformer + Emb.	20.5/30.4	6.3/15.3	15.5/22.9	15.1/15.6	8.7/23.9	10.7/18.2	21.7/24.7	19.0/27.3	14.7/22.8
ViT-16 + Emb.	25.6/36.4	39.7/47.1	21.2/30.8	15.5/16.3	20.1/33.8	39.4/40.8	29.0/33.0	20.3/29.6	25.9/33.5
MAE + Emb.	25.4/36.7	34.2/43.2	21.6/31.5	16.4/16.7	20.0/33.3	32.0/39.7	28.2/32.9	18.6/26.6	24.5/33.0
SimSiam + Emb.	44.9/56.1	35.1/43.5	45.7/50.8	25.0/26.6	23.4/35.1	64.7/73.5	55.0/57.2	42.8/49.1	39.5/47.0
R18 + Emb.	44.0/54.0	8.8/19.8	41.1/47.6	24.5/26.7	13.7/26.5	30.9/40.2	43.3/45.5	29.5/34.8	29.4/37.4
R50 + Emb.	46.6/57.8	38.0/45.9	43.2/50.1	24.6/26.4	23.3/35.1	56.9/67.4	57.9/58.6	44.8/51.0	39.8/47.5
R50 + GloVe	46.0/56.3	39.2/48.5	53.9/56.4	26.7/28.9	21.5/32.4	58.9/68.5	48.5/50.4	43.3/47.8	40.0/47.2
R50 + GPT2	47.0/57.9	44.8/53.1	55.1/58.6	26.1/28.4	27.2/39.3	61.0/71.3	49.0/50.2	42.5/48.4	42.1/49.6
R50 + BERT	48.5/59.3	46.1/54.9	56.7/60.2	26.5/28.4	28.5/39.7	65.6/75.4	44.3/46.2	39.9/45.3	42.8/50.2
CLIP	41.3/52.9	18.2/29.3	33.3/41.1	19.8/21.9	12.9/24.9	27.8/42.8	32.2/36.2	29.9/36.1	27.3/36.4
FLAVA	47.7/58.1	20.2/29.7	41.4/47.1	25.4/27.1	19.6/31.2	30.5/41.9	33.2/35.7	38.3/44.2	32.3/40.2
		Answer Sp	lit (AS) – Ar	iswer General	ization Expe	eriments			
R50 + BERT (FT + Cls.)	0.1/23.8	1.5/13.2	0.0/16.8	0.0/1.6	0.4/17.3	0.0/21.1	0.0/6.0	0.0/15.0	0.19/10.2
R50 + BERT (FT + Reg.)	12.0/28.4	10.4/25.7	19.6/30.8	9.5/10.6	3.64/18.3	9.42/28.6	14.1/21.1	25.5/30.9	16.3/23.4



#### Supervised Experiments Using Transformers

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Instance S	Split (IS) – S	upervised Lea	rning Exper	iments			
Greedy (baseline)	21.7/22.6	8.97/21.5	18.5/21.0	22.7/21.2	10.2/21.1	12.8/21.1	22.3/21.3	20.6/21.3	17.3/21.6
Uniform (baseline)	9.41/20.0	3.65/20.0	7.91/20.0	11.1/20.0	5.01/20.0	3.63/20.0	15.5/20.0	16.7/20.0	8.41/20.0
Swin-T + Emb.	23.1/35.1	33.7/41.0	20.3/28.8	16.7/18.6	17.7/29.5	26.3/34.3	24.5/29.1	17.5/26.5	22.5/30.8
Swin-B + Emb.	22.0/34.0	29.4/36.5	17.7/26.1	16.7/17.0	17.1/30.2	25.0/34.2	26.2/30.7	21.5/29.6	21.6/29.9
Cross-Transformer + Emb.	20.5/30.4	6.3/15.3	15.5/22.9	15.1/15.6	8.7/23.9	10.7/18.2	21.7/24.7	19.0/27.3	14.7/22.8
ViT-16 + Emb.	25.6/36.4	39.7/47.1	21.2/30.8	15.5/16.3	20.1/33.8	39.4/40.8	29.0/33.0	20.3/29.6	25.9/33.5
MAE + Emb.	25.4/36.7	34.2/43.2	21.6/31.5	16.4/16.7	20.0/33.3	32.0/39.7	28.2/32.9	18.6/26.6	24.5/33.0
SimSiam + Emb.	44.9/56.1	35.1/43.5	45.7/50.8	25.0/26.6	23.4/35.1	64.7/73.5	55.0/57.2	42.8/49.1	39.5/47.0
R18 + Emb.	44.0/54.0	8.8/19.8	41.1/47.6	24.5/26.7	13.7/26.5	30.9/40.2	43.3/45.5	29.5/34.8	29.4/37.4
R50 + Emb.	46.6/57.8	38.0/45.9	43.2/50.1	24.6/26.4	23.3/35.1	56.9/67.4	57.9/58.6	44.8/51.0	39.8/47.5
R50 + GloVe	46.0/56.3	39.2/48.5	53.9/56.4	26.7/28.9	21.5/32.4	58.9/68.5	48.5/50.4	43.3/47.8	40.0/47.2
R50 + GPT2	47.0/57.9	44.8/53.1	55.1/58.6	26.1/28.4	27.2/39.3	61.0/71.3	49.0/50.2	42.5/48.4	42.1/49.6
R50 + BERT	48.5/59.3	46.1/54.9	56.7/60.2	26.5/28.4	28.5/39.7	65.6/75.4	44.3/46.2	39.9/45.3	42.8/50.2
CLIP	41.3/52.9	18.2/29.3	33.3/41.1	19.8/21.9	12.9/24.9	27.8/42.8	32.2/36.2	29.9/36.1	27.3/36.4
FLAVA	47.7/58.1	20.2/29.7	41.4/47.1	25.4/27.1	19.6/31.2	30.5/41.9	33.2/35.7	38.3/44.2	32.3/40.2
		Answer Sp	lit (AS) – Ar	nswer General	ization Expe	eriments			
R50 + BERT (FT + Cls.)	0.1/23.8	1.5/13.2	0.0/16.8	0.0/1.6	0.4/17.3	0.0/21.1	0.0/6.0	0.0/15.0	0.19/10.2
R50 + BERT (FT + Reg.)	12.0/28.4	10.4/25.7	19.6/30.8	9.5/10.6	3.64/18.3	9.42/28.6	14.1/21.1	25.5/30.9	16.3/23.4



### Supervised Experiments: Large Language Models

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Instance S	plit (IS) – Sı	upervised Lea	rning Exper	iments			
Greedy (baseline)	21.7/22.6	8.97/21.5	18.5/21.0	22.7/21.2	10.2/21.1	12.8/21.1	22.3/21.3	20.6/21.3	17.3/21.6
Uniform (baseline)	9.41/20.0	3.65/20.0	7.91/20.0	11.1/20.0	5.01/20.0	3.63/20.0	15.5/20.0	16.7/20.0	8.41/20.0
Swin-T + Emb.	23.1/35.1	33.7/41.0	20.3/28.8	16.7/18.6	17.7/29.5	26.3/34.3	24.5/29.1	17.5/26.5	22.5/30.8
Swin-B + Emb.	22.0/34.0	29.4/36.5	17.7/26.1	16.7/17.0	17.1/30.2	25.0/34.2	26.2/30.7	21.5/29.6	21.6/29.9
Cross-Transformer + Emb.	20.5/30.4	6.3/15.3	15.5/22.9	15.1/15.6	8.7/23.9	10.7/18.2	21.7/24.7	19.0/27.3	14.7/22.8
ViT-16 + Emb.	25.6/36.4	39.7/47.1	21.2/30.8	15.5/16.3	20.1/33.8	39.4/40.8	29.0/33.0	20.3/29.6	25.9/33.5
MAE + Emb.	25.4/36.7	34.2/43.2	21.6/31.5	16.4/16.7	20.0/33.3	32.0/39.7	28.2/32.9	18.6/26.6	24.5/33.0
SimSiam + Emb.	44.9/56.1	35.1/43.5	45.7/50.8	25.0/26.6	23.4/35.1	64.7/73.5	55.0/57.2	42.8/49.1	39.5/47.0
R18 + Emb.	44.0/54.0	8.8/19.8	41.1/47.6	24.5/26.7	13.7/26.5	30.9/40.2	43.3/45.5	29.5/34.8	29.4/37.4
R50 + Emb.	46.6/57.8	38.0/45.9	43.2/50.1	24.6/26.4	23.3/35.1	56.9/67.4	57.9/58.6	44.8/51.0	39.8/47.5
R50 + GloVe	46.0/56.3	39.2/48.5	53.9/56.4	26.7/28.9	21.5/32.4	58.9/68.5	48.5/50.4	43.3/47.8	40.0/47.2
R50 + GPT2	47.0/57.9	44.8/53.1	55.1/58.6	26.1/28.4	27.2/39.3	61.0/71.3	49.0/50.2	42.5/48.4	42.1/49.6
R50 + BERT	48.5/59.3	46.1/54.9	56.7/60.2	26.5/28.4	28.5/39.7	65.6/75.4	44.3/46.2	39.9/45.3	42.8/50.2
CLIP	41.3/52.9	18.2/29.3	33.3/41.1	19.8/21.9	12.9/24.9	27.8/42.8	32.2/36.2	29.9/36.1	27.3/36.4
FLAVA	47.7/58.1	20.2/29.7	41.4/47.1	25.4/27.1	19.6/31.2	30.5/41.9	33.2/35.7	38.3/44.2	32.3/40.2
		Answer Spl	it $(AS) - An$	swer General	ization Expe	eriments			
R50 + BERT (FT + Cls.)	0.1/23.8	1.5/13.2	0.0/16.8	0.0/1.6	0.4/17.3	0.0/21.1	0.0/6.0	0.0/15.0	0.19/10.2
R50 + BERT (FT + Reg.)	12.0/28.4	10.4/25.7	19.6/30.8	9.5/10.6	3.64/18.3	9.42/28.6	14.1/21.1	25.5/30.9	16.3/23.4



#### Supervised Experiments: Vision-Language Foundation Models

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Instance S	plit (IS) – Su	upervised Lea	rning Experi	iments			
Greedy (baseline)	21.7/22.6	8.97/21.5	18.5/21.0	22.7/21.2	10.2/21.1	12.8/21.1	22.3/21.3	20.6/21.3	17.3/21.6
Uniform (baseline)	9.41/20.0	3.65/20.0	7.91/20.0	11.1/20.0	5.01/20.0	3.63/20.0	15.5/20.0	16.7/20.0	8.41/20.0
Swin-T + Emb.	23.1/35.1	33.7/41.0	20.3/28.8	16.7/18.6	17.7/29.5	26.3/34.3	24.5/29.1	17.5/26.5	22.5/30.8
Swin-B + Emb.	22.0/34.0	29.4/36.5	17.7/26.1	16.7/17.0	17.1/30.2	25.0/34.2	26.2/30.7	21.5/29.6	21.6/29.9
Cross-Transformer + Emb.	20.5/30.4	6.3/15.3	15.5/22.9	15.1/15.6	8.7/23.9	10.7/18.2	21.7/24.7	19.0/27.3	14.7/22.8
ViT-16 + Emb.	25.6/36.4	39.7/47.1	21.2/30.8	15.5/16.3	20.1/33.8	39.4/40.8	29.0/33.0	20.3/29.6	25.9/33.5
MAE + Emb.	25.4/36.7	34.2/43.2	21.6/31.5	16.4/16.7	20.0/33.3	32.0/39.7	28.2/32.9	18.6/26.6	24.5/33.0
SimSiam + Emb.	44.9/56.1	35.1/43.5	45.7/50.8	25.0/26.6	23.4/35.1	64.7/73.5	55.0/57.2	42.8/49.1	39.5/47.0
R18 + Emb.	44.0/54.0	8.8/19.8	41.1/47.6	24.5/26.7	13.7/26.5	30.9/40.2	43.3/45.5	29.5/34.8	29.4/37.4
R50 + Emb.	46.6/57.8	38.0/45.9	43.2/50.1	24.6/26.4	23.3/35.1	56.9/67.4	57.9/58.6	44.8/51.0	39.8/47.5
R50 + GloVe	46.0/56.3	39.2/48.5	53.9/56.4	26.7/28.9	21.5/32.4	58.9/68.5	48.5/50.4	43.3/47.8	40.0/47.2
R50 + GPT2	47.0/57.9	44.8/53.1	55.1/58.6	26.1/28.4	27.2/39.3	61.0/71.3	49.0/50.2	42.5/48.4	42.1/49.6
R50 + BERT	48.5/59.3	46.1/54.9	56.7/60.2	26.5/28.4	28.5/39.7	65.6/75.4	44.3/46.2	39.9/45.3	42.8/50.2
CLIP	41.3/52.9	18.2/29.3	33.3/41.1	19.8/21.9	12.9/24.9	27.8/42.8	32.2/36.2	29.9/36.1	27.3/36.4
FLAVA	47.7/58.1	20.2/29.7	41.4/47.1	25.4/27.1	19.6/31.2	30.5/41.9	33.2/35.7	38.3/44.2	32.3/40.2
		Answer Spl	it (AS) – An	swer General	ization Expe	riments			
R50 + BERT (FT + Cls.)	0.1/23.8	1.5/13.2	0.0/16.8	0.0/1.6	0.4/17.3	0.0/21.1	0.0/6.0	0.0/15.0	0.19/10.2
R50 + BERT (FT + Reg.)	12.0/28.4	10.4/25.7	19.6/30.8	9.5/10.6	3.64/18.3	9.42/28.6	14.1/21.1	25.5/30.9	16.3/23.4







#### Quantitative Comparisons: Answer Generalization

Puzzle Category $\rightarrow$	Count	Arithmetic	Logic	Path Trace	Algebra	Measure	Spatial	Pattern Finding	Average
		Instance S	plit (IS) – Sı	upervised Lea	rning Experi	iments			
Greedy (baseline)	21.7/22.6	8.97/21.5	18.5/21.0	22.7/21.2	10.2/21.1	12.8/21.1	22.3/21.3	20.6/21.3	17.3/21.6
Uniform (baseline)	9.41/20.0	3.65/20.0	7.91/20.0	11.1/20.0	5.01/20.0	3.63/20.0	15.5/20.0	16.7/20.0	8.41/20.0
Swin-T + Emb.	23.1/35.1	33.7/41.0	20.3/28.8	16.7/18.6	17.7/29.5	26.3/34.3	24.5/29.1	17.5/26.5	22.5/30.8
Swin-B + Emb.	22.0/34.0	29.4/36.5	17.7/26.1	16.7/17.0	17.1/30.2	25.0/34.2	26.2/30.7	21.5/29.6	21.6/29.9
Cross-Transformer + Emb.	20.5/30.4	6.3/15.3	15.5/22.9	15.1/15.6	8.7/23.9	10.7/18.2	21.7/24.7	19.0/27.3	14.7/22.8
ViT-16 + Emb.	25.6/36.4	39.7/47.1	21.2/30.8	15.5/16.3	20.1/33.8	39.4/40.8	29.0/33.0	20.3/29.6	25.9/33.5
MAE + Emb.	25.4/36.7	34.2/43.2	21.6/31.5	16.4/16.7	20.0/33.3	32.0/39.7	28.2/32.9	18.6/26.6	24.5/33.0
SimSiam + Emb.	44.9/56.1	35.1/43.5	45.7/50.8	25.0/26.6	23.4/35.1	64.7/73.5	55.0/57.2	42.8/49.1	39.5/47.0
R18 + Emb.	44.0/54.0	8.8/19.8	41.1/47.6	24.5/26.7	13.7/26.5	30.9/40.2	43.3/45.5	29.5/34.8	29.4/37.4
R50 + Emb.	46.6/57.8	38.0/45.9	43.2/50.1	24.6/26.4	23.3/35.1	56.9/67.4	57.9/58.6	44.8/51.0	39.8/47.5
R50 + GloVe	46.0/56.3	39.2/48.5	53.9/56.4	26.7/28.9	21.5/32.4	58.9/68.5	48.5/50.4	43.3/47.8	40.0/47.2
R50 + GPT2	47.0/57.9	44.8/53.1	55.1/58.6	26.1/28.4	27.2/39.3	61.0/71.3	49.0/50.2	42.5/48.4	42.1/49.6
R50 + BERT	48.5/59.3	46.1/54.9	56.7/60.2	26.5/28.4	28.5/39.7	65.6/75.4	44.3/46.2	39.9/45.3	42.8/50.2
CLIP	41.3/52.9	18.2/29.3	33.3/41.1	19.8/21.9	12.9/24.9	27.8/42.8	32.2/36.2	29.9/36.1	27.3/36.4
FLAVA	47.7/58.1	20.2/29.7	41.4/47.1	25.4/27.1	19.6/31.2	30.5/41.9	33.2/35.7	38.3/44.2	32.3/40.2
		Answer Spl	it (AS) – An	swer General	ization Expe	riments			
R50 + BERT (FT + Cls.)	0.1/23.8	1.5/13.2	0.0/16.8	0.0/1.6	0.4/17.3	0.0/21.1	0.0/6.0	0.0/15.0	0.19/10.2
R50 + BERT (FT + Reg.)	12.0/28.4	10.4/25.7	19.6/30.8	9.5/10.6	3.64/18.3	9.42/28.6	14.1/21.1	25.5/30.9	16.3/23.4

Regression generalizes better, perhaps via answer interpolation.



#### Comparisons to ChatGPT, GPT-4, Bing, and Bard

	puzzle ID	7	9	30	38	47	71	88	89	90	91	93	mean
	Category	AL	S	AM	AM	AM	AM	AM	С	AL	L	М	
	Human	NA	NA	NA	NA	NA	60.4	NA	NA	NA	NA	NA	60.4
	Bard [1]	0.0	20.0	0.0	50.0	0.0	0.0	0.0	10.0	10.0	20.0	30.0	12.7
	ChatGPT3.5 [3]	70.0	10.0	0.0	20.0	0.0	40.0	70.0	10.0	30.0	60.0	90.0	36.4
	BGPT4-C [2]	20.0	0.0	100.0	90.0	10.0	0.0	100.0	0.0	10.0	20.0	30.0	26.4
	BGPT4-B [2]	30.0	0.0	0.0	0.0	0.0	40.0	0.0	0.0	0.0	0.0	100.0	15.5
	BGPT4-P [2]	100.0	0.0	100.0	70.0	0.0	90.0	0.0	0.0	0.0	0.0	30.0	35.5
	PS split	NA	NA	NA	NA	NA	4.65	NA	NA	NA	25.5	NA	15.1
	IS split	98.0	14.0	100.0	64.6	93.7	56.7	21.3	55.7	51.3	26.3	34.0	55.9
Pu	zzle Category $\rightarrow$	Count	Arith	metic	Logic	Path Trac	e Alge	ebra Me	easure	Spatial	Pattern	Finding	Average
			Puzz	zle Split (	PS) – Extr	eme Gener	ralization	Experimen	nts				
Avg. 2 <sup>n</sup>	<sup>ad</sup> Grader Performance	72.8	81	.3	82.2	<b>@1.1</b>	64	.5 9	0.4	74.8	8	8.6	77.1

BGPT4-C = Bing + GPT-4 + Creative variant BGPT4-B = Bing + GPT-4 + Balanced variant BGPT4-P = Bing + GPT-4 + Precise variant







#### Conclusions

Are deep neural networks SMARTer than second graders?

>> Not yet

- However, the recent large language models (e.g., ChatGPT) appear to showcase convincing out-of-domain generalization.
- Nevertheless, there appears to be gaps in its reasoning and perhaps a more systematic adherence to the development of foundational learning and reasoning skills is important.









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SMART-101 dataset is public at: https://zenodo.org/record/7775984

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# **MITSUBISHI FLECTRIC** Changes for the Better