## Poster Overview

a

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N-Gram in Swin Transformers for Efficient Lightweight Image Super-Resolution
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## * Motivation

- Receptive field of Swin Transformer is too limited.
- SR requires the information of locally adjacent regions to recover degraded pixels.
- The popular Vision Transformer family originates from Transformer of NLP domain.
- N -Gram language model can consider longer spans in text analysis.
- Inspired by such advantage of N-Gram from NLP field, we propose N-Gram context in low-level vision task.
* Overall Architecture of NGswin (N-Gram Swin Transformer)


NSTB (b) contains our proposed N Gram Window Partitioning method
Uni-Gram Embedding reduces feature maps for efficiency.

- For $N$-Gram context, sliding-WSA (window self-attention) is used. SCDP enables NGswin to maintain an efficient hierarchical structure.
Our method can overcome the limited receptive fields of Swin Transformers.

(c) N-Gram Window Partition
(b) NSTB (N-Gram Swin Transformer Block)
* Definition of N -Gram in an Image

* Problem Verification (limited receptive field of Swin Transformer)

(f), (g): Self-attention in shallower layer is limited within a local window.
- (h): The patterns in the red box and its neighbors differ.
(e): The obvious distortion in the red box is led by this issue
- (a), (b), (c), (d): However, our N-Gram context can overcome this issue.


## - Sliding-WSA

- Example for forward bi-Gram context.
- For backward bi-Gram context only the direction of padding is changed from lower-right to upper-left.
* Two Tracks of Paper
* SCDP Bottleneck (pixel-Shuffle / Concat / Depth-wise / Point-wise) (b) Impacts of extra stages and SCDP bottleneck. PSNR / SSIM.

| Stages | SCDP | Scale | Mult-Adds | \#Params | Urban 100 | Mangal09 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| extra | w/o |  | 87.98 G | 997K | $32.28 / 0.9298$ | 38.72/0.9773 |
| ault | w/o | $\times 2$ | 88 G | 992K | $32.48 / 0.9321$ | 38.92/0.9776 |
| default | w/ |  | 140.41G | 998K | 32.53/0.9324 | 38.97/0.9777 |
| extra | w/o |  | ${ }^{42.10 \mathrm{G}}$ | 1,006K | 28.33/0.8562 | 33.67/0.0453 |
| defautt | w/o | $\times 3$ | 65.85 G | 1,001K | $28.47 / 0.8596$ | 33.81/0.9464 |
| default | w/ |  | 66.56G | 1,007K | 28.52/0.8603 | $33.89 / 0.9470$ |
|  | w/o |  | 23.33 G | 1,018K | 26.22/0.7900 | 30.46/0.9090 |
| default | w/o | $\times 4$ | 36.06G | 1,013K | 26.38/0.7954 | 30.71/0.9121 |
| fault | w/ |  | 36.44G | 1,019K | 26.45/0.79 | 30.80/0.9128 |



- (left): NGswin outperformed previous state-of-the-art efficient Super-Resolution (SR) networks with a more efficient architecture.
- (right): The proposed N-Gram context enhances other Swin Transformer-based networks.
* Lightweight SR Results (SwinlR-NG = SwinlR-light + N-Gram)

| thod | Year | Scale | 11-Ads | \#Params | $\stackrel{\text { PSNR }}{ }$ |  | PSN | SSIM | ${ }_{\text {BSSDILOO }}^{\text {PSNR }}$ |  | $\frac{\text { Unani00 }}{\text { PSNR }}$ SSIM |  | ${ }_{\text {S }}^{\text {Psamg }}$ | ${ }_{\text {sealos }}^{\text {ssim }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 202 | $\times 2$ |  |  |  |  |  |  |  |  |  |  |  |  |
| ESRT [ <br> ELAN-light [ <br> 9$]$ | ${ }_{202}^{2022}$ | ${ }_{\times 2}^{\times 2}$ | ${ }_{\substack{168,46}}^{19.46}$ | ${ }_{582 \mathrm{~K}}^{67 \mathrm{~K}}$ | $\begin{aligned} & 38.03 \\ & 38.17 \\ & 38.0 \end{aligned}$ | 0.9660 <br> 0.9611 | ${ }_{3}^{33,75} \mathbf{3 3 , 9 4}$ | - | 32.25 32.30 | ${ }^{\text {a }}$ | ${ }^{32288}$ | (0.334 | - |  |
| Sinev | 2 | ${ }^{\times 2}$ | 27 | , | ${ }_{38,16}^{3.17}$ | ${ }^{0.9612}$ | 33.80 | 0.995 | 32.29 | 0.0012 | 32.60 | 0.9325 |  | , |
| Swink-ight ${ }^{\text {a }}$ |  |  |  |  |  |  |  | 0.8463 |  |  |  |  |  |  |
| krtel | 2022 | $\times 3$ | ${ }^{96}$ | 770k | ${ }^{34.42}$ | ${ }^{0.92688}$ | 30.43 | 0.8433 | 29.15 | 0.8063 | 28.46 | 0.8574 | 33.95 |  |
|  | 2023 | ${ }^{\times 3}$ |  |  | ${ }_{3}^{34.60}$ |  | ${ }_{\substack{30.55 \\ 30.45}}$ | ${ }_{\substack{0.8463 \\ 0.847}}^{0.8}$ | ${ }_{29,19}^{29,21}$ |  | ${ }_{\text {28, }}^{28.69}$ |  |  |  |
| Swink-NG (ours) | 2023 | $\times 3$ | ${ }^{114.16}$ | 1,190\% | 34.64 | 0.92 | 30.58 | 0.84 | 29.21 | 0.8 | 28.75 | 0.8839 | 4.22 |  |
| inllk-ligh | 2021 |  |  |  |  |  |  | 078 |  |  |  |  |  |  |
|  | ${ }_{2022}^{2022}$ | ${ }_{\times 4} \times$ | 667.7 4326 | $\underset{\substack{751 \mathrm{~K} \\ 601 \mathrm{~K}}}{ }$ | ${ }_{3}^{32.19}$ | $\substack{0.8897 \\ 0.8975}_{\substack{\text { a }}}$ | ${ }_{28.78}^{28.69}$ | ${ }_{\substack{0.78838 \\ 0.788}}^{0 .}$ | ${ }_{27,69}^{27.69}$ | ${ }_{\substack{0.7379 \\ 0.746}}^{0.0}$ | ${ }_{26.54}^{26.39}$ | ${ }_{\substack{0.7982 \\ 0.782}}^{0.9}$ | 3075 | ${ }_{\substack{0.99100 \\ 0.950}}$ |
| Elivaneli] | ${ }_{2023}^{2022}$ | ${ }_{\times 4}$ | ${ }^{27.06}$ | ${ }_{\text {cole }}$ | ${ }_{32,1}$ | 0.8975 | 28.78 | 0.7888 | 27.5 | 0.74 | 26.42 |  | ${ }^{30.73}$ |  |
| Swinle NCL l (oui |  | ${ }^{\text {x } 4}$ |  |  | ${ }^{32,44}$ | ${ }^{0.8978}$ | ${ }^{28.80}$ | ${ }^{0.7863}$ | ${ }^{2770}$ | 0.7487 | ${ }^{26,47}$ | ${ }^{0.7977}$ | 30.97 |  |
|  |  | ${ }^{\times 4}$ |  |  |  |  |  |  |  |  |  |  |  |  |

* Visual Comparisons



## 1 Methodology - Overall Architecture

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## Core Design

- (1.2) NSTB (N-Gram Swin Transformer Block): N-Gram Context
- (1.3) SCDP Bottleneck


# 1.1 Methodology - N-Gram Context 

## N-Gram Context Motivation


N-Gram Context

### 1.1 Methodology - N-Gram Context

## N-Gram Context in Text Analysis

## Sentence1: We use laptop pc for office work.

$\rightarrow$ N-Gram (forward): (SOS we), (we use), (use laptop), (laptop pc), (pc for), (for office), (office work), (work EOS)
$\rightarrow$ N-Gram (backward): (SOS we), (we use), (use laptop), (laptop pc), (pc for), (for office), (office work), (work EOS)
$\rightarrow \mathrm{N}$-Gram (bi-directional): (SOS we use), (we use laptop), (use laptop pc), (laptop pc for), (pc for office), (for office work), (office, work, EOS)

> *SOS: Start Of Sentence
> *EOS: End Of Sentence

Sentence2: Office work makes me tired.
$\rightarrow$ N-Gram (forward): (SOS office), (office work), (work makes), (makes me), (me tired), (tired EOS)
$\rightarrow \mathrm{N}-\mathrm{Gram}$ (backward): (SOS office), (office work), (work makes), (makes me), (me tired), (tired EOS)
$\rightarrow \mathrm{N}$-Gram (bi-directional): (SOS office work), (office work makes), (work makes me), (makes me tired), (me tired EOS)

- Character: an alphabet in each word
- Uni-Gram: a word in each sentence
- N-Gram: a word pair neighboring Uni-Gram
1.1 Methodology - N-Gram Context

Definition of N-Gram in an Image for Swin Transformer

Backward N-Gram

Local windows


Pixels in local window
$\square$ Uni-Gram local window
N-Gram local windows
 source: DIV2K 0196.png

Forward N-Gram


- Character: Alphabet - Pixel
- Uni-Gram: Word - Window
- N-Gram: Neighbor Words Pair - Neighbor Windows Set


### 1.1 Methodology - N-Gram Context

## Architecture of N -Gram Window Partitioning



### 1.2 Methodology - SCDP Bottleneck

## SCDP (pixel Shuffle - Concatenation - Depth-wise conv - Point-wise conv

Problem of Standard U-Net Based Bottleneck

- The resolution of bottleneck input is too low.
- Correspondingly, the next layer also takes low-resolution features.
- However, successful super-resolution tasks depend on how many the network handles high-resolution feature maps.
- Nevertheless, a hierarchical U-Net structure is more efficient (Table below).

Table 1. Comparison of computational complexity with state-of-the-art networks. Our NGswin is much more efficient. Mult-Adds is evaluated on a $1280 \times 720 \mathrm{HR}$ image.


Standard U-Net [1] Architecture

| Scale | NGswin | SwinIR-light [38] ${ }^{2}$ | ESRT [48] | DiVANet [7] | ELAN-light [79] |
| :---: | :---: | :---: | :---: | :---: | :---: |
| x2 | 140.4G | 243.7 G | 191.4 G | 189.0 G | 168.4 G |
| x3 | $\mathbf{6 6 . 6 G}$ | 109.5 G | 96.4 G | 89.0 G | 75.7 G |
| x4 | $\mathbf{3 6 . 4 G}$ | 61.7 G | 67.7 G | 57.0 G | 43.2 G |

### 1.2 Methodology - SCDP Bottleneck

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```
Algorithm 1 SCDP Bottleneck Pseudo-code, PyTorch-like
# zi: output list of last NSTBs in three encoder stages
# zs: output of shallow module
x = list()
for i in range(3): # pixel-"S"huffle
    x_ = zi[i] + down(zs, i) # before shuffling
    x.append(PixelShuffle(x_, 2**i))
x = torch.cat (x, dim=-1) # "C"oncatenation
x = Rearrange(x, ' (h w) d -> d h w') # ignores batch
x = GELU(depth_wise(x)) # "D"epth-wise convolution
x = Rearrange (x, 'd h w -> (h w) d')
x = LayerNorm(point_wise(x)) # "P"oint-wise projection
def down(z, exp): # downsizing zs
    z = Rearrange(z, '(h w) d -> d h w')
    for e in range(exp): # iterative max-poolings
        z = MaxPool2D(z) # 2x2 pool
    z = LeakyReLU(z)
    return Rearrange(z, 'd h w -> (h w) d')
```

Table 6. Ablation study on extra stages and SCDP bottleneck.
(a) The specifications of models with different stages. dep.: \# of NSTBs / res.: training input resolution. The total number of NSTBs is kept as 20.

| Stages | encoder1 | encoder2 | encoder3 | encoder4 | decoder1 | decoder2 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | dep. / res. | dep. / res. | dep. / res. | dep. / res. | dep. / res. | dep. / res. |
| extra | $4 / 64 \times 64$ | $4 / 32 \times 32$ | $4 / 16 \times 16$ | $4 / 8 \times 8$ | $2 / 32 \times 32$ | $2 / 64 \times 64$ |
| default | $\mathbf{6 / 6 4} \times \mathbf{6 4}$ | $\mathbf{4 / 3 2} \times \mathbf{3 2}$ | $\mathbf{4 / \mathbf { 1 6 } \times \mathbf { 1 6 }}$ | $-/-$ | $\mathbf{6 / 6 4} \times \mathbf{6 4}$ | $-/ \mathbf{-}$ |

(b) Impacts of extra stages and SCDP bottleneck. PSNR / SSIM.

| Stages | SCDP | Scale | Mult-Adds | \#Params | Urban 100 | Manga109 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| extra | $w / o$ |  | 87.98 G | 997 K | $32.28 / 0.9298$ | $38.72 / 0.9773$ |
| default | $w / o$ | $\times 2$ | 138.88 G | 992 K | $32.48 / 0.9321$ | $38.92 / 0.9776$ |
| default | $\boldsymbol{w} /$ |  | $\mathbf{1 4 0 . 4 1 G}$ | $\mathbf{9 9 8 K}$ | $\mathbf{3 2 . 5 3 / 0 . 9 3 2 4}$ | $\mathbf{3 8 . 9 7} / \mathbf{0 . 9 7 7 7}$ |
| extra | $w / o$ |  | 42.10 G | $1,006 \mathrm{~K}$ | $28.33 / 0.8562$ | $33.67 / 0.9453$ |
| default | $w / o$ | $\times 3$ | 65.85 G | $1,001 \mathrm{~K}$ | $28.47 / 0.8596$ | $33.81 / 0.9464$ |
| default | $\boldsymbol{w} /$ |  | $\mathbf{6 6 . 5 6 G}$ | $\mathbf{1 , 0 0 7 \mathrm { K }}$ | $\mathbf{2 8 . 5 2 / 0 . 8 6 0 3}$ | $\mathbf{3 3 . 8 9} / \mathbf{0 . 9 4 7 0}$ |
| extra | $w / o$ |  | 23.33 G | $1,018 \mathrm{~K}$ | $26.22 / 0.7900$ | $30.46 / 0.9090$ |
| default | $w / o$ | $\times 4$ | 36.06 G | $1,013 \mathrm{~K}$ | $26.38 / 0.7954$ | $30.71 / 0.9121$ |
| default | $\boldsymbol{w} /$ |  | $\mathbf{3 6 . 4 4 G}$ | $\mathbf{1 , 0 1 9 K}$ | $\mathbf{2 6 . 4 5 / 0 . 7 9 6 3}$ | $\mathbf{3 0 . 8 0} / \mathbf{0 . 9 1 2 8}$ |

## 3 Results

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## Main Results

| Method | Training Dataset | Scale | Mult-Adds | \#Params | Set5 |  | Set14 |  | BSD100 |  | Urban 100 |  | Mangal09 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM |
| EDSR-baseline [28] | D2K | $\times 2$ | 316.3G | 1,370K | 37.99 | 0.9604 | 33.57 | 0.9175 | 32.16 | 0.8994 | 31.98 | 0.9272 | 38.54 | 0.9769 |
| MemNet [50] | 291 | $\times 2$ | 2,662.4G | 677K | 37.78 | 0.9597 | 33.28 | 0.9142 | 32.08 | 0.8978 | 31.31 | 0.9195 |  |  |
| CARN [2] | D2K+291 | $\times 2$ | 222.8 G | 1,592K | 37.76 | 0.9590 | 33.52 | 0.9166 | 32.09 | 0.8978 | 31.92 | 0.9256 | 38.36 | 0.9765 |
| IMDN [23] | D2K | $\times 2$ | 158.8 G | 694K | 38.00 | 0.9605 | 33.63 | 0.9177 | 32.19 | 0.8996 | 32.17 | 0.9283 | 38.88 | 0.9774 |
| LatticeNet [37] | D2K | $\times 2$ | 169.5 G | 756K | 38.06 | 0.9607 | 33.70 | 0.9187 | 32.20 | 0.8999 | 32.25 | 0.9288 | 38.94 | $\underline{0.9774}$ |
| RFDN-L [30] | D2K | $\times 2$ | 145.8G | 626 K | 38.08 | 0.9606 | 33.67 | 0.9190 | 32.18 | 0.8996 | 32.24 | 0.9290 | 38.95 | 0.9773 |
| SRPN-Lite [65] | DF2K | $\times 2$ | 139.9 G | 609K | 38.10 | 0.9608 | 33.70 | 0.9189 | 32.25 | 0.9005 | 32.26 | 0.9294 |  |  |
| HNCT [16] | D2K | $\times 2$ | 82.4 G | 357K | 38.08 | 0.9608 | 33.65 | 0.9182 | 32.22 | 0.9001 | 32.22 | 0.9294 | 38.87 | 0.9774 |
| FMEN [15] | DF2K | $\times 2$ | 172.0 G | 748K | 38.10 | 0.9609 | 33.75 | 0.9192 | 32.26 | 0.9007 | 32.41 | 0.9311 | 38.95 | 0.9778 |
| NGswin (ours) | D2K | $\times 2$ | 140.4G | 998 K | 38.05 | 0.9610 | 33.79 | 0.9199 | 32.27 | 0.9008 | 32.53 | 0.9324 | 38.97 | 0.9777 |
| EDSR-baseline [28] | D2K | $\times 3$ | 160.2G | 1,555K | 34.37 | 9270 | 30.28 | 0.8417 | 29.09 | 0.8052 | 28.15 | 8527 | 33.45 | 0.9439 |
| MemNet [50] | 219 | $\times 3$ | 2,662.4G | 77K | 4.09 | 9248 | 30.00 | . 8350 | 28.96 | 0.8001 | 27.56 | . 8376 |  |  |
| CARN [2] | D2K+291 | $\times 3$ | 118.8 G | 1,592K | 34.29 | 0.9255 | 30.29 | 0.8407 | 29.06 | 0.8034 | 28.06 | 0.8493 | 33.50 | 0.9440 |
| IMDN [23] | D2K | $\times 3$ | 71.5G | 703K | 34.36 | 0.9270 | 30.32 | 0.8417 | 29.09 | 0.8046 | 28.17 | 0.8519 | 33.61 | 0.9445 |
| LatticeNet [37] | D2K | $\times 3$ | 76.3G | 765K | 34.40 | 0.9272 | 30.32 | 0.8416 | 29.10 | 0.8049 | 28.19 | 0.8513 | 33.63 | 0.9442 |
| RFDN-L [30] | K | $\times 3$ | 65.6G | 33K | 34.47 | 928 | 30.35 | 0.842 | 29.11 | 0.8053 | 28.32 | 0.8547 | 33.78 | 0.9458 |
| SRPN-Lite [65] | DF2K | $\times 3$ | 62.7 G | 615 K | 34.47 | 0.9276 | 30.38 | 0.8425 | 29.16 | 0.8061 | 28.22 | 0.8534 |  |  |
| HNCT [16] | D2K | $\times 3$ | 37.8 G | 363 K | 34.47 | 0.9275 | 30.44 | 0.8439 | 29.15 | 0.8067 | 28.28 | 0.8557 | 33.81 | 0.9459 |
| FMEN [15] | DF2K | $\times 3$ | 77.2G | 757K | 34.45 | 0.9275 | 30.40 | 0.8435 | 29.17 | 0.8063 | 28.33 | 0.8562 | 33.86 | 0.9462 |
| NGswin (ours) | D2K | $\times 3$ | 66.6G | 1,007K | 34.52 | 0.9282 | 30.53 | 0.8456 | 29.19 | 0.8078 | 28.5 | 0.86 | 33.8 | 0.947 |
| EDSR-baseline [28] | D2K | $\times 4$ | 114.0 G | 1,518K | 32.09 | 0.8 | 28.58 | 0.7813 | 27.57 | 0.7357 | 26.04 | 0.7849 | 30.35 | 0.9067 |
| MemNet [50] | 291 | $\times 4$ | 2,662.4G | 677K | 31.74 | 0.889 | 28.26 | 0.7723 | 27.40 | 0.7281 | 25.50 | 0.7630 |  |  |
| CARN [2] | D2K+291 | $\times 4$ | 90.9G | 1,592K | 32.13 | 0.8937 | 28.60 | 0.7806 | 27.58 | 0.7349 | 26.07 | 0.7837 | 30.47 | 0.9084 |
| IMDN [23] | D2K | $\times 4$ | 40.9G | 715K | 32.21 | 0.8948 | 28.58 | 0.7811 | 27.56 | 0.7353 | 26.04 | 0.7838 | 30.45 | 0.9075 |
| LatticeNet [37] | D2K | $\times 4$ | 43.6G | 777K | 32.18 | 0.8943 | 28.61 | 0.7812 | 27.57 | 0.7355 | 26.14 | 0.7844 | 30.54 | 0.9075 |
| RFDN-L [30] | D2K | $\times 4$ | 37.4 G | 643 K | 32.28 | 0.8957 | 28.61 | 0.7818 | 27.58 | 0.7363 | $\underline{26.20}$ | 0.7883 | 30.61 | 0.9096 |
| SRPN-Lite [65] | DF2K | $\times 4$ | 35.8G | 623 K | 32.24 | 0.8958 | 28.69 | 0.7836 | 27.63 | 0.7373 | 26.16 | 0.7875 |  |  |
| HNCT [16] | D2K | $\times 4$ | 22.0 G | 373K | 32.31 | 0.8957 | 28.71 | 0.7834 | 27.63 | 0.7381 | $\underline{26.20}$ | $\underline{0.7896}$ | 30.70 | 0.9112 |
| FMEN [ [15] | DF2K | $\times 4$ | 44.2G | 769 K | 32.24 | 0.8955 | 28.70 | 0.7839 | 27.63 | 0.7379 | 26.28 | 0.7908 | 30.70 | 0.9107 |
| NGswin (ours) | D2K | $\times 4$ | 36.4G | 1,019K | 32.33 | 0.8963 | 28.78 | 0.7859 | 27.66 | 0.7396 | 26.45 | 0.7963 | 30.80 | 0.9128 |

## 1st Track: Efficient Super-Resolution (NGswin)

| Method | Year | Scale | Mult-Adds | \#Params | Set5 |  | Set14 |  | BSD100 |  | Urban 100 |  | Manga109 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM | PSNR | SSIM |
| SwinIR-light [27] | 2021 | $\times 2$ | 243.7 G | 910 K | 38.14 | 0.9611 | 33.86 | 0.9206 | 32.31 | 0.9012 | 32.76 | 0.9340 | 39.12 | 0.978 |
| ESRT [35] | 2022 | $\times 2$ | 191.4G | 677K | 38.03 | 0.9600 | 33.75 | 0.9184 | 32.25 | 0.9001 | 32.58 | 0.9318 | 39.12 | 0.9774 |
| ELAN-light [63] | 2022 | $\times 2$ | 168.4G | 582 K | 38.17 | 0.9611 | 33.94 | 0.9207 | 32.30 | 0.9012 | 32.76 | 0.9340 | 39.12 | 0.9783 |
| DiVANet [6] | 2023 | $\times 2$ | 189.0G | 902K | 38.16 | 0.9612 | 33.80 | 0.9195 | 32.29 | 0.9012 | 32.60 | 0.9325 | 39.08 | 0.9775 |
| SwinIR-NG (ours) | 2023 | $\times 2$ | 274.1G | 1,181K | 38.17 | 0.9612 | 33.94 | 0.9205 | 32.31 | 0.9013 | 32.78 | 0.9340 | 39.20 | 0.9781 |
| SwinIR-light [27] | 2021 | $\times 3$ | 109.5 G | 918 K | 34.62 | 0.9289 | 30.54 | 0.8463 | 29.20 | 0.8082 | 28.66 | 0.8624 | 33.98 | 0.9478 |
| ESRT [35] | 2022 | $\times 3$ | 96.4G | 770 K | 34.42 | 0.9268 | 30.43 | 0.8433 | 29.15 | 0.8063 | 28.46 | 0.8574 | 33.95 | 0.9455 |
| ELAN-light [63] | 2022 | $\times 3$ | 75.7G | 590K | 34.61 | 0.9288 | 30.55 | 0.8463 | 29.21 | 0.8081 | 28.69 | 0.8624 | 34.00 | 0.9478 |
| Divanet [6] | 2023 | $\times 3$ | 89.0G | 949K | 34.60 | 0.9285 | 30.47 | 0.8447 | 29.19 | 0.8073 | 28.58 | 0.8603 | 33.94 | 0.9468 |
| SwinIR-NG (ours) | 2023 | $\times 3$ | 114.1G | 1,190K | 34.64 | 0.9293 | 30.58 | 0.8471 | 29.24 | 0.8090 | 28.75 | 0.8639 | 34.22 | 0.9488 |
| SwinIR-light [27] | 2021 | $\times 4$ | 61.7G | 930K | 32.44 | 0.8976 | 28.77 | 0.7858 | 27.69 | 0.7406 | 26.47 | 0.7980 | 30.92 | 0.9151 |
| ESRT [35] | 2022 | $\times 4$ | 67.7G | 751K | 32.19 | 0.8947 | 28.69 | 0.7833 | 27.69 | 0.7379 | 26.39 | 0.7962 | 30.75 | 0.9100 |
| ELAN-light [63] | 2022 | $\times 4$ | 43.2 G | 601 K | 32.43 | 0.8975 | 28.78 | 0.7858 | 27.69 | 0.7406 | 26.54 | 0.7982 | 30.92 | 0.9150 |
| Divanet [6] | 2023 | $\times 4$ | 57.0G | 939 K | 32.41 | 0.8973 | 28.70 | 0.7844 | 27.65 | 0.7391 | 26.42 | 0.7958 | 30.73 | 0.9119 |
| SwinIR-NG $\downarrow$ (ours) |  |  | 42.5G | 770K | 32.44 | 0.8978 | 28.80 | 0.7863 | 27.70 | 0.7407 | 26.47 | 0.7977 | 30.97 | 0.9147 |
| SwinIR-NG $\downarrow^{\S}$ (ours) | 2023 | $\times 4$ | 42.5G | 770K | 32.48 | 0.8979 | 28.83 | 0.7868 | 27.71 | 0.7411 | 26.54 | 0.7998 | 31.12 | 0.9158 |
| SwinIR-NG (ours) |  |  | 63.0G | 1,201K | 32.44 | 0.8980 | 28.83 | 0.7870 | 27.73 | 0.7418 | 26.61 | 0.8010 | 31.09 | 0.9161 |

2nd Track: Lightweight Super-Resolution (SwinIR-NG = SwinIR-light + N-Gram)

## 3 Results



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## Main Results







## 3 Results

## Ablations

(a) N-Gram context (Tab. 4).
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NGswin without $v s$. with N-Gram

| N-Gram | Scale | Mult-Adds | \#Params | Set5 | Set14 | BSD100 | Urban100 | Manga109 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| w/o | $\times 2$ | 138.20G | 750 K | 38.05 / 0.9609 | 33.70 / 0.9194 | 32.25 / 0.9006 | 32.39 / 0.9304 | 38.86 / 0.9775 |
| w/ |  | 140.41G | 998K | 38.05 / 0.9610 | 33.79 / 0.9199 | 32.27 / 0.9008 | 32.53 / 0.9324 | 38.97 / 0.9777 |
| w/o | $\times 3$ | 65.53G | 759K | 34.53 / 0.9281 | 30.48 / 0.8451 | 29.15 / 0.8073 | $28.37 / 0.8573$ | $33.81 / 0.9464$ |
| $\boldsymbol{w} /$ |  | 66.56G | 1,007K | 34.52 / 0.9282 | $\mathbf{3 0 . 5 3} / 0.8456$ | 29.19 / 0.8078 | 28.52 / 0.8603 | 33.89 / 0.9470 |
| w/o | $\times 4$ | 35.89G | 771 K | 32.34 / 0.8963 | 28.70/0.7844 | $27.63 / 0.7390$ | 26.25 / 0.7918 | 30.70 / 0.9123 |
| $w / o$ (channel up) |  | 53.71 G | 1,189K | 32.37 / 0.8973 | $28.75 / 0.7854$ | $27.65 / 0.7396$ | 26.28 / 0.7927 | 30.73 / 0.9129 |
| $w / o$ (depth up) |  | 47.88G | 1,061K | 32.40 / 0.8967 | 28.75 / 0.7853 | 27.66 / 0.7398 | $26.37 / 0.7946$ | 30.78 / 0.9133 |
| $w /$ |  | 36.44G | 1,019K | 32.33 / 0.8963 | 28.78 / 0.7859 | 27.66 / 0.7396 | 26.45 / 0.7963 | $\mathbf{3 0 . 8 0} / 0.9128$ |


| N-Gram | Scale | Mult-Adds | \#Params | Set5 | Set14 | BSD100 | Urban100 | Manga109 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| w/o | $\times 2$ | 82.39G | 357K | 38.08 / 0.9608 | $\mathbf{3 3 . 6 5} / 0.9182$ | 32.22 / 0.9001 | 32.22 / 0.9294 | 38.87 / 0.9774 |
| $\boldsymbol{w} /$ |  | 83.19G | 424K | 38.10 / 0.9610 | 33.64 / 0.9195 | 32.25 / 0.9006 | $\mathbf{3 2 . 3 5} / 0.9306$ | 38.94 / 0.9774 |
| w/o | $\times 3$ | 37.78G | 363K | 34.47 / 0.9275 | 30.44 / 0.8439 | 29.15 / 0.8067 | 28.28 / 0.8557 | 33.81 / 0.9459 |
| $w /$ |  | 38.14G | 431K | 34.48 / 0.9280 | $\mathbf{3 0 . 4 8} / \mathbf{0 . 8 4 5 0}$ | 29.16 / 0.8074 | 28.38 / 0.8573 | 33.81 / 0.9464 |
| w/o | $\times 4$ | 22.01 G | 373K | $32.31 / 0.8957$ | 28.71/0.7834 | 27.63/0.7381 | 26.20 / 0.7896 | 30.70 / 0.9112 |
| $w /$ |  | 22.21G | 440K | 32.32 / 0.8960 | 28.72 / 0.7846 | 27.65 / 0.7391 | 26.23 / 0.7912 | 30.71 / 0.9114 |

(b) N-Gram directions and interaction (Tab. 5). The second best results are in underline.

| Direction | Type | Mult-Adds | \#Params | Set5 | Set14 | BSD100 | Urban100 | Manga109 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | WSA | 152.41 G | 1,238,056 | $\underline{38.05 / 0.9610}$ | 33.78 / 0.9198 | 32.26/0.9006 | 32.54 / 0.9322 | 38.90 / 0.9777 |
| 4 | WSA | 139.56G | 935,272 | 38.07 / 0.9609 | $33.76 / 0.9197$ | $32.25 / 0.9007$ | $32.52 / 0.9317$ | 38.92 / 0.9776 |
| 1 | CNN | 139.80G | 1,327,528 | 38.04 / 0.9610 | 33.77 / 0.9197 | $32.25 / 0.9005$ | 32.45 / 0.9316 | 38.86 / 0.9775 |
| 2 | CNN | 139.38G | 998,568 | 38.04 / 0.9610 | 33.83 / 0.9203 | $\underline{32.26 / 0.9007}$ | 32.54 / 0.9321 | 38.90 / 0.9776 |
| 4 | CNN | 139.17G | 936,488 | 38.02 / 0.9609 | 33.77 / 0.9178 | 32.26/0.9006 | 32.52 / 0.9320 | 38.93 / 0.9777 |
| 2 | WSA | 140.41G | 998,384 | 38.05 / 0.9610 | $\underline{33.79 / 0.9199}$ | 32.27 / 0.9008 | 32.53 / 0.9324 | 38.97 / 0.9777 |

## 3 Results

Visual Results (vs. other networks)



## Thank You

