



Activating More Pixels in Image Super-Resolution Transformer

Xiangyu Chen^{1,2,3}, Xintao Wang⁴, Jiantao Zhou¹, Yu Qiao^{2,3}, Chao Dong^{2,3}

¹State Key Laboratory of Internet of Things for Smart City, University of Macau ²ShenZhen Key Lab of Computer Vision and Pattern Recognition, Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences ³Shanghai Artificial Intelligence Laboratory ⁴ARC Lab, Tencent PCG





Overview

- We investigate the existing Transformer-based method for image super-resolution through attribution analysis and feature visualization.
- We propose a Hybrid Attention Transformer (HAT) that combines self-attention, channel attention and a novel overlapping cross-attention.
- We introduce the same-task pretraining strategy to exploit the potential of SR
 Transformer for further performance improvement.
- HAT achieves the state-of-the-art performance on image super-resolution that significantly outperforms existing methods.



Overview





Motivation



Since SwinIR obtains impressive performance on image SR, we want to know:

- Why does the Transformer-based model perform better than CNN-based methods?
- How to design a better SR Transformer to achieve greater performance breakthroughs?



Liang, Jingyun, et al. "Swinir: Image restoration using swin transformer." ICCV. 2021.

Analysis



Thanks to the attribution analysis tool – LAM, we found that:

- SwinIR achieves better performance by utilizing fewer pixels, indicating that it has stronger local representation ability.
- SwinIR still restore wrong textures while RCAN obtain the correct results, suggesting that using more pixels may help.

We further observe the blocking artifacts in the intermediate features of SwinIR due to the window partition mechanism. We think that the cross-window interaction should be enhanced.

200

Gu, Jinjin, and Chao Dong. "Interpreting super-resolution networks with local attribution maps." CVPR. 2021.

Proposed Method



The overall architecture of the proposed HAT.



Proposed Method

The window partition for the proposed overlapping cross-attention.



OCA computes Key/Value from a larger field where more information can be utilized for the **Q**uery directly.

We also introduce the same-task pre-training strategy by using large-scale

dataset to further exploit the potential of SR Transformer.



Quantitative Comparison

| Method Scale | Seela | Training | S | et5 | Se | t14 | BSI | D100 | Urba | n100 | Man | ga109 |
|---------------------------|------------|----------|-------|--------|-------|--------|-------|--------|-------|--------|-------|--------|
| wiethod | Scale | Dataset | PSNR | SSIM |
| EDSR | ×2 | DIV2K | 38.11 | 0.9602 | 33.92 | 0.9195 | 32.32 | 0.9013 | 32.93 | 0.9351 | 39.10 | 0.9773 |
| RCAN | ×2 | DIV2K | 38.27 | 0.9614 | 34.12 | 0.9216 | 32.41 | 0.9027 | 33.34 | 0.9384 | 39.44 | 0.9786 |
| SAN | $\times 2$ | DIV2K | 38.31 | 0.9620 | 34.07 | 0.9213 | 32.42 | 0.9028 | 33.10 | 0.9370 | 39.32 | 0.9792 |
| IGNN | ×2 | DIV2K | 38.24 | 0.9613 | 34.07 | 0.9217 | 32.41 | 0.9025 | 33.23 | 0.9383 | 39.35 | 0.9786 |
| HAN | ×2 | DIV2K | 38.27 | 0.9614 | 34.16 | 0.9217 | 32.41 | 0.9027 | 33.35 | 0.9385 | 39.46 | 0.9785 |
| NLSN | ×2 | DIV2K | 38.34 | 0.9618 | 34.08 | 0.9231 | 32.43 | 0.9027 | 33.42 | 0.9394 | 39.59 | 0.9789 |
| RCAN-it | ×2 | DF2K | 38.37 | 0.9620 | 34.49 | 0.9250 | 32.48 | 0.9034 | 33.62 | 0.9410 | 39.88 | 0.9799 |
| SwinIR | ×2 | DF2K | 38.42 | 0.9623 | 34.46 | 0.9250 | 32.53 | 0.9041 | 33.81 | 0.9427 | 39.92 | 0.9797 |
| EDT | ×2 | DF2K | 38.45 | 0.9624 | 34.57 | 0.9258 | 32.52 | 0.9041 | 33.80 | 0.9425 | 39.93 | 0.9800 |
| HAT-S (ours) | ×2 | DF2K | 38.58 | 0.9628 | 34.70 | 0.9261 | 32.59 | 0.9050 | 34.31 | 0.9459 | 40.14 | 0.9805 |
| HAT (ours) | ×2 | DF2K | 38.63 | 0.9630 | 34.86 | 0.9274 | 32.62 | 0.9053 | 34.45 | 0.9466 | 40.26 | 0.9809 |
| IPT ^F | ×2 | ImageNet | 38.37 | | 34.43 | | 32.48 | | 33.76 | | | |
| EDT^{\dagger} | ×2 | DF2K | 38.63 | 0.9632 | 34.80 | 0.9273 | 32.62 | 0.9052 | 34.27 | 0.9456 | 40.37 | 0.9811 |
| HAT [†] (ours) | ×2 | DF2K | 38.73 | 0.9637 | 35.13 | 0.9282 | 32.69 | 0.9060 | 34.81 | 0.9489 | 40.71 | 0.9819 |
| HAT-L [†] (ours) | ×2 | DF2K | 38.91 | 0.9646 | 35.29 | 0.9293 | 32.74 | 0.9066 | 35.09 | 0.9505 | 41.01 | 0.9831 |
| EDSR | ×4 | DIV2K | 32.46 | 0.8968 | 28.80 | 0.7876 | 27.71 | 0.7420 | 26.64 | 0.8033 | 31.02 | 0.9148 |
| RCAN | ×4 | DIV2K | 32.63 | 0.9002 | 28.87 | 0.7889 | 27.77 | 0.7436 | 26.82 | 0.8087 | 31.22 | 0.9173 |
| SAN | ×4 | DIV2K | 32.64 | 0.9003 | 28.92 | 0.7888 | 27.78 | 0.7436 | 26.79 | 0.8068 | 31.18 | 0.9169 |
| IGNN | ×4 | DIV2K | 32.57 | 0.8998 | 28.85 | 0.7891 | 27.77 | 0.7434 | 26.84 | 0.8090 | 31.28 | 0.9182 |
| HAN | ×4 | DIV2K | 32.64 | 0.9002 | 28.90 | 0.7890 | 27.80 | 0.7442 | 26.85 | 0.8094 | 31.42 | 0.9177 |
| NLSN | ×4 | DIV2K | 32.59 | 0.9000 | 28.87 | 0.7891 | 27.78 | 0.7444 | 26.96 | 0.8109 | 31.27 | 0.9184 |
| RRDB | ×4 | DF2K | 32.73 | 0.9011 | 28.99 | 0.7917 | 27.85 | 0.7455 | 27.03 | 0.8153 | 31.66 | 0.9196 |
| RCAN-it | $\times 4$ | DF2K | 32.69 | 0.9007 | 28.99 | 0.7922 | 27.87 | 0.7459 | 27.16 | 0.8168 | 31.78 | 0.9217 |
| SwinIR | ×4 | DF2K | 32.92 | 0.9044 | 29.09 | 0.7950 | 27.92 | 0.7489 | 27.45 | 0.8254 | 32.03 | 0.9260 |
| EDT | ×4 | DF2K | 32.82 | 0.9031 | 29.09 | 0.7939 | 27.91 | 0.7483 | 27.46 | 0.8246 | 32.05 | 0.9254 |
| HAT-S (ours) | ×4 | DF2K | 32.92 | 0.9047 | 29.15 | 0.7958 | 27.97 | 0.7505 | 27.87 | 0.8346 | 32.35 | 0.9283 |
| HAT (ours) | $\times 4$ | DF2K | 33.04 | 0.9056 | 29.23 | 0.7973 | 28.00 | 0.7517 | 27.97 | 0.8368 | 32.48 | 0.9292 |
| IPT [†] | ×4 | ImageNet | 32.64 | - | 29.01 | - | 27.82 | | 27.26 | - | | - |
| EDT [†] | ×4 | DF2K | 33.06 | 0.9055 | 29.23 | 0.7971 | 27.99 | 0.7510 | 27.75 | 0.8317 | 32.39 | 0.9283 |
| HAT [†] (ours) | ×4 | DF2K | 33.18 | 0.9073 | 29.38 | 0.8001 | 28.05 | 0.7534 | 28.37 | 0.8447 | 32.87 | 0.9319 |
| HAT-L [†] (ours) | ×4 | DF2K | 33.30 | 0.9083 | 29.47 | 0.8015 | 28.09 | 0.7551 | 28.60 | 0.8498 | 33.09 | 0.9335 |



Visual Comparison



LAM Comparison





Ablation Study

Effects of different window sizes.

| Size | Set5 | Set14 | BSD100 | Urban100 | Manga109 |
|---------|-------|-------|---------------|----------|----------|
| (8,8) | 32.88 | 29.09 | 27.92 | 27.45 | 32.03 |
| (16,16) | 32.97 | 29.12 | 27.95 | 27.81 | 32.15 |

We investigate the effects of different window size on the performance and the utilized range of information.

We can observe that the model with larger window size has much better performance and activates more pixels for the reconstruction.





Ablation Study

Ablation study on OCAB and CAB.



We investigate the effects of OCAB and CAB in HAT on the performance and the utilized range of information.

We can observe that both OCAB and CAN enlarge the utilized range of information and obtain great performance gains.



Study on Pre-training Strategy

in EDT and our proposed same-task pre-training. Stage Urban100 Strategy Set5 Set14 pre-training Multi-related-task 32.94 29.17 28.05 fine-tuning 33.06 29.33 28.21 pre-training Same-task 33.02 29.20 28.11 pre-training 28.28 pre-training(ours) 33.07 fine-tuning 29.34

Comparison between the multi-related-task pre-training

Effects of the pre-training for different networks.



Compared to the multi-related-task pretraining, our same-task pre-training obtains better performance in the pretraining and the fine-tuning stages.

All networks can benefit from the pretraining strategy. For the same type of network (i.e., CNN or Transformer), the larger the network capacity, the more performance gain.

Li, Wenbo, et al. "On efficient transformer and image pre-training for low-level vision." arXiv preprint arXiv:2112.10175. 2021.

Model Complexity Analysis

Model complexity comparison of window sizes.

| window size | #Params. | #Multi-Adds. | PSNR |
|-------------|----------|--------------|---------|
| (8, 8) | 11.9M | 53.6G | 27.45dB |
| (16, 16) | 12.1M | 63.8G | 27.81dB |

Model complexity comparison of window sizes.

| Method | #Params. | #Multi-Adds. | PSNR |
|----------|----------|--------------|---------|
| Baseline | 12.1M | 63.8G | 27.81dB |
| w/ OCAB | 13.7M | 74.7G | 27.91dB |
| w/ CAB | 19.2M | 92.8G | 27.91dB |
| Ours | 20.8M | 103.7G | 27.97dB |

Model complexity comparison of SwinIR and HAT.

| Method | #Params. | #Multi-Adds. | PSNR |
|--------------|----------|--------------|---------|
| SwinIR | 11.9M | 53.6G | 27.45dB |
| HAT-S (ours) | 9.6M | 54.9G | 27.80dB |
| SwinIR-L1 | 24.0M | 104.4G | 27.53dB |
| SwinIR-L2 | 23.1M | 102.4G | 27.58dB |
| HAT (ours) | 20.8M | 103.7G | 27.97dB |

Enlarging window size can bring a large performance gain (+0.36dB) with a little increase in parameters and ~%19 increase in Multi-Adds.

The proposed OCAB can bring a noticeable performance improvement with limited computation increase.

HAT-S achieves much better performance than SwinIR with fewer params and similar computations. Simply Enlarging SwinIR cannot obtain comparable performance to our proposed HAT.

Conclusion

- > We propose a novel Hybrid Attention Transformer HAT for image super-resolution.
- > HAT combines channel attention and self-attention to activate more pixels for reconstruction.
- We introduce an overlapping cross-attention module to enhance the cross-window interaction.
- > We further provide a same-task pre-training strategy to exploit the potential of SR Transformer.
- > HAT achieves the state-of-the-art performance that significantly outperforms existing methods.







Activating More Pixels in Image Super-Resolution Transformer

Xiangyu Chen^{1,2,3}, Xintao Wang⁴, Jiantao Zhou¹, Yu Qiao^{2,3}, Chao Dong^{2,3}

¹State Key Laboratory of Internet of Things for Smart City, University of Macau

²ShenZhen Key Lab of Computer Vision and Pattern Recognition,

Shenzhen Institute of Advanced Technology, Chinese Academy of Sciences

³Shanghai Artificial Intelligence Laboratory ⁴ARC Lab, Tencent PCG



