

# CFAT: Unleashing Triangular Windows for Image Super-resolution



Abhisek Ray<sup>1</sup> Gaurav Kumar<sup>1</sup> Maheshkumar H. Kolekar<sup>1</sup>

<sup>1</sup>Indian Institute of Technology Patna



- ☞ The shifted-rectangular window has limited number of unique shifting modes due to rotational repetition.
  - ☞ We are the first to introduce the triangular window-based self-attention mechanism in the computer vision task that exhibits more non-identical shifting modes than the conventional rectangular one.
- ☞ The use of rectangular window technique in Image SR also results boundary-level distortion due to insufficient neighboring pixels at rectangular boundaries.
  - ☞ We smoothly integrate the proposed triangular window with traditional rectangular windows to employ non-overlapping self-attention in single-image SR.
  - ☞ It not only eradicate the boundary-level distortion but also execute the multi-region attention.
- ☞ The smaller window reduces the computational complexity with a heavy penalty on performances due restricted receptive field.
  - ☞ We introduce two variants of triangular window attention: (i) dense and (ii) sparse. The dense and sparse attention concentrate more on local image features and global image context respectively.

# Shift Modes in Triangular & Rectangular Windows

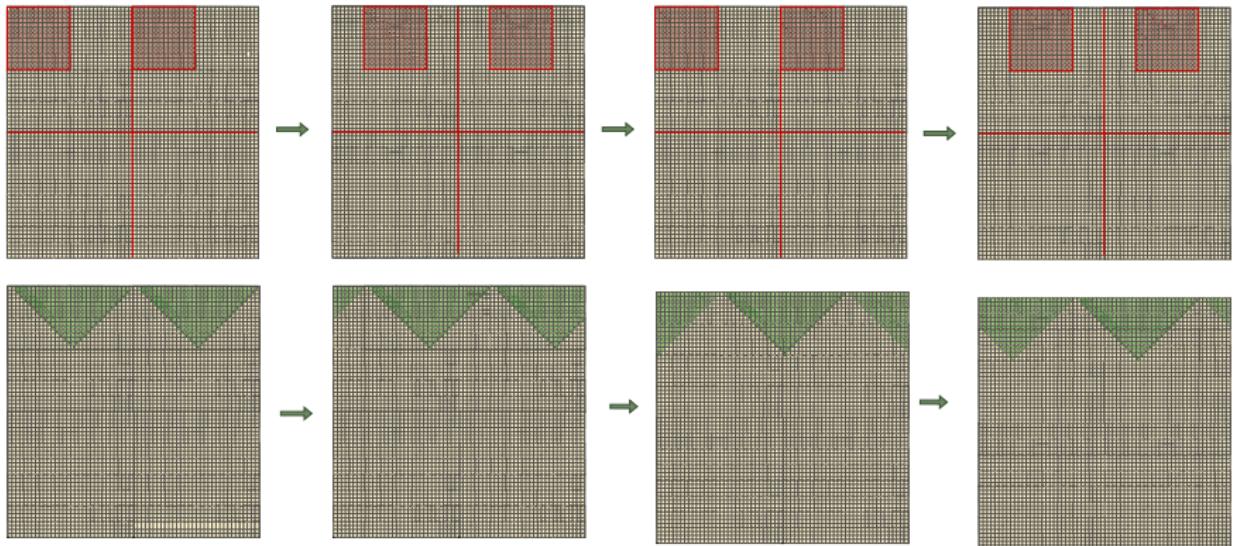


Figure 1: Shifting modes of rectangular and triangular windows in a  $64 \times 64$  image patch

# Design of Triangular & Rectangular Windows

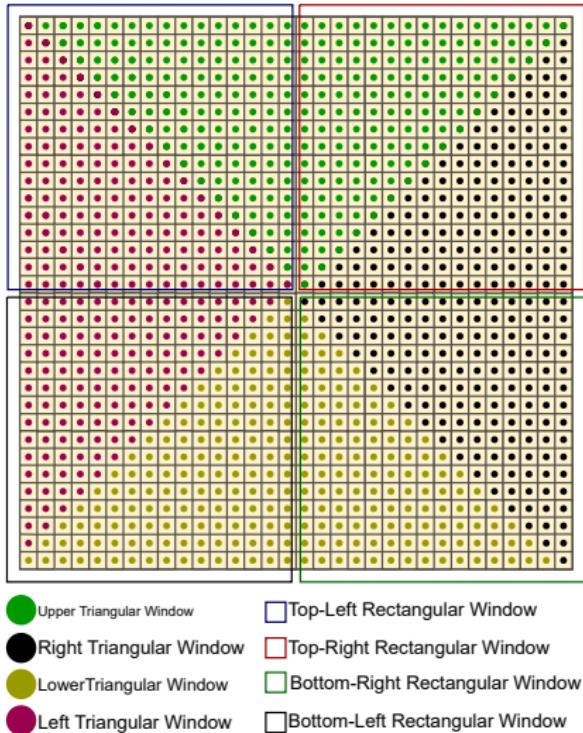


Figure 2: A rectangular and triangular patch in  $32 \times 32$  window.

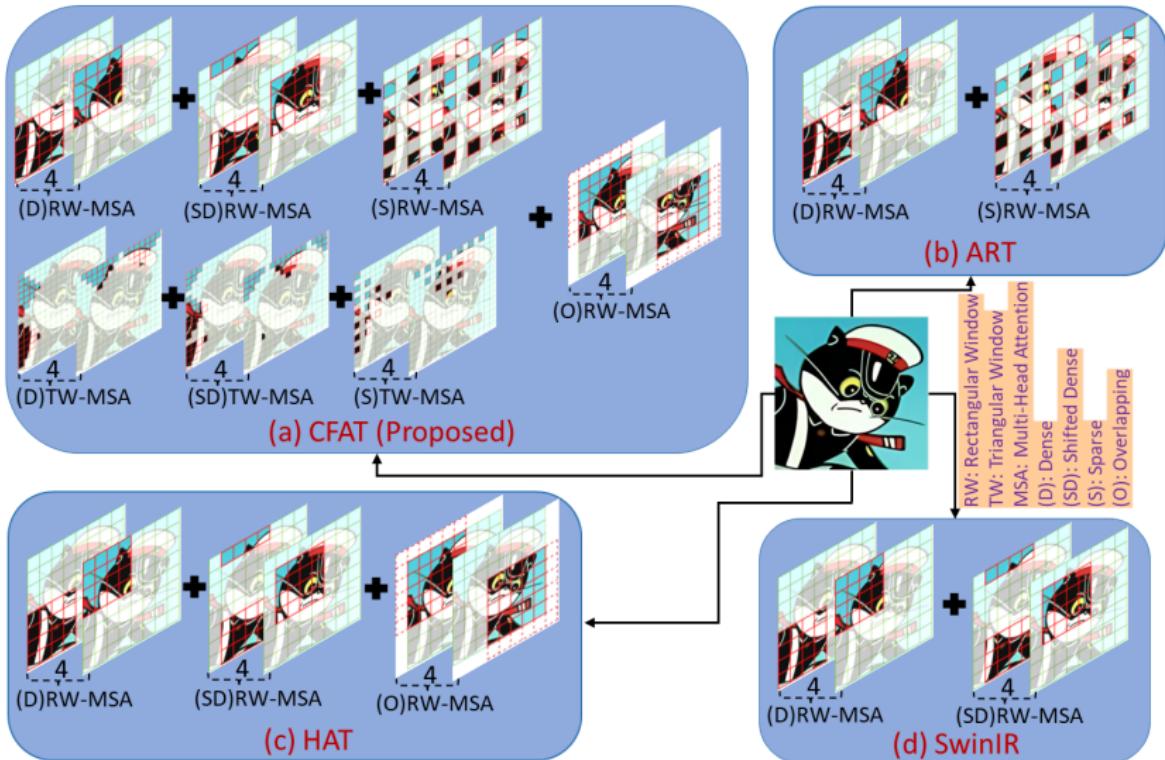


Figure 3: Proposed CFAT vs other SOTA models

# Model Architecture

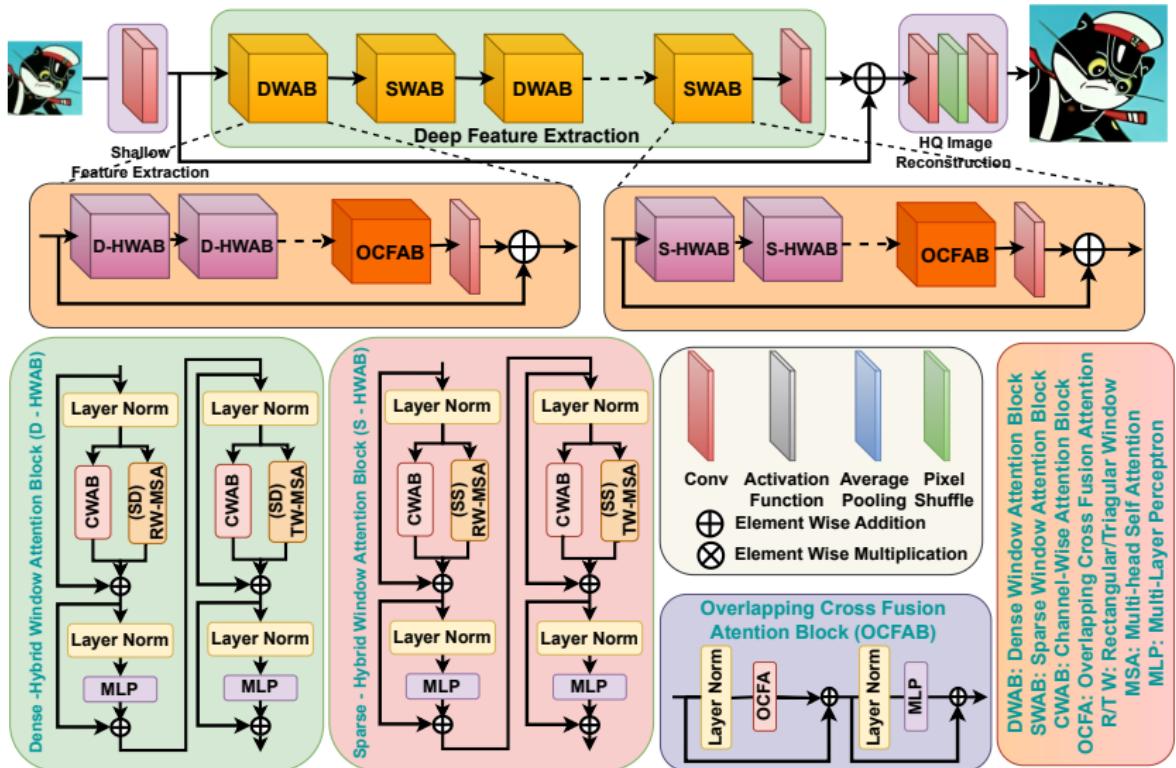


Figure 4: The overall architecture of CFAT with all internal modules

① Dense Window Attention Blocks [DWAB]:

$$\begin{aligned}
 F_{dp} &= f_{conv}(f_{op}(f_{nop}^n(f_{nop}^2(f_{nop}^1(F_{sh})))))) + F_{sh}, \\
 F_{DA} &= f_{nop}^x(F_{sh}) = f_{tri}^n f_{rect}^n(f_{tri}^1(f_{rect}^1(F_{sh})))), \\
 F_{int} &= f_{MSA}^{rect}(f_{LN}^1(F_{in})) + \alpha f_{CA}(f_{LN}^1(F_{in})) + F_{in}, \\
 F_{out} &= f_{MLP}(f_{LN}^2(F_{int})) + F_{int}, \\
 F_{int} &= f_{MSA}^{tri}(f_{LN}^1(F_{in})) + \beta f_{CA}(f_{LN}^1(F_{in})) + F_{in}, \\
 F_{out} &= f_{MLP}(f_{LN}^2(F_{int})) + F_{int}.
 \end{aligned} \tag{1}$$

② Overlapping Cross Fusion Attention Block [OCFAB]:

$$R_0 = (1 + k)R. \tag{2}$$

③ Computational Complexity for Triangular-MSA:

$$\begin{aligned}
 O(\text{MSA}) &= 4[HW]C^2 + 2[HW]^2C, \\
 O(\text{D-MSA}) &= (4HWC^2 + 2HWL^2C), \\
 O(\text{S-MSA}) &= (4HWC^2 + 2(\frac{HW}{S})^2C).
 \end{aligned} \tag{3}$$

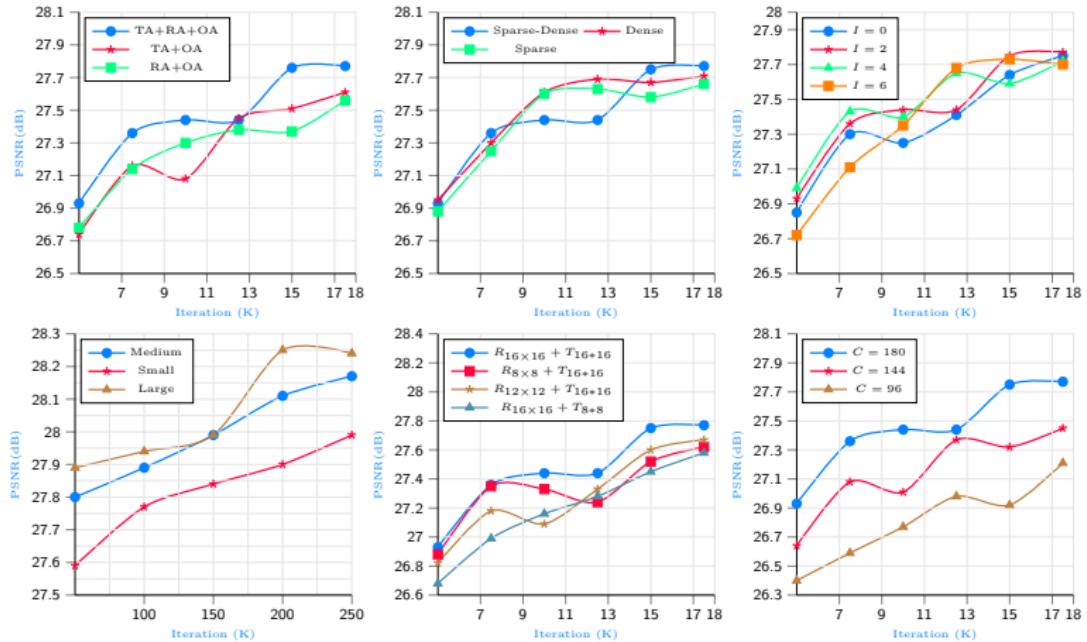
## ① Environment Settings:

- ① **GPU:** NVIDIA GTX 1080 ti, CUDA 10.1.243, CuDNN 8.1.0,
- ② **Language:** Python 3.10.11.
- ③ **Framework:** PyTorch 2.0.1
- ④ **Library:** Torch, Numpy...

## ② Training Settings:

- ① **Iterations:** 250K
- ② **Batch Size:** 32
- ③ **Objective Function:**  $L_1$  Loss
- ④ **Optimizer:** Adam.
- ⑤ **Learning Rate:** 0.0002
- ⑥ **lr Decay:** 0.5
- ⑦ **Step Size:** [112.5K, 175K, 200K, 225K]

# Ablation Study



**Figure 5:** Iterative performance (PSNR in dB) comparison of the proposed CFAT for **Top-Left:** triangular vs rectangular vs overlapping attention, **Top-Middle:** sparse vs dense attention, **Top-Right:** various interval size, **Bottom-Left:** small vs medium vs large CFAT model, **Bottom-Middle:** various combinations of rectangular ( $8 \times 8$ ,  $12 \times 12$ ,  $16 \times 16$ ) windows, and **Bottom-Right:** various channel lengths. [BSD100( $\times 4$ ) epoch 70]

# Quantitative Comparison



Table 1: Quantitative comparison of the proposed CFAT. **red** and **green** color indicate the best and second best respectively

Method	Scale	Training Dataset	Set5		Set14		BSD100		Urban100		Manga109	
			PSNR	SSIM								
EDSR [1]	x2	DIV2K	38.11	0.9602	33.92	0.9195	32.32	0.9013	32.93	0.9351	39.10	0.9773
HAN [2]	x2	DIV2K	38.27	0.9614	34.16	0.9217	32.41	0.9027	33.35	0.9385	39.46	0.9785
SAN [3]	x2	DIV2K	38.31	0.9620	34.07	0.9213	32.42	0.9028	33.10	0.9370	39.32	0.9792
IP-T [4]	x2	ImageNet	38.37	-	34.43	-	32.48	-	33.76	-	-	-
SwinIR [5]	x2	DIV2K+Flickr2K	38.46	0.9624	34.61	0.9260	32.55	0.9043	33.95	0.9433	40.02	0.9800
Swin2SR [6]	x2	DIV2K+Flickr2K	38.43	0.9623	34.48	0.9256	32.54	0.905	33.89	0.9431	39.88	0.9798
ACT [7]	x2	DIV2K+Flickr2K	38.53	0.9629	34.68	0.9260	32.60	0.9052	34.25	0.9453	40.11	0.9807
ART [8]	x2	DIV2K+Flickr2K	38.56	0.9629	34.59	0.9267	32.58	0.9048	34.30	0.9452	40.24	0.9808
EDT [9]	x2	DIV2K+Flickr2K	38.63	<b>0.9632</b>	34.80	0.9273	32.62	0.9052	34.27	0.9456	<b>40.37</b>	<b>0.9811</b>
HAT [10]	x2	DIV2K+Flickr2K	<b>38.68</b>	0.9630	<b>34.89</b>	<b>0.9274</b>	<b>32.62</b>	<b>0.9053</b>	<b>34.15</b>	<b>0.9466</b>	40.26	0.9809
CFAT-s (ours)	x2	DIV2K+Flickr2K	38.59	0.9621	34.81	0.92872	32.58	0.9044	34.42	0.9453	40.24	0.9799
CFAT (ours)	x2	DIV2K+Flickr2K	<b>39.09</b>	<b>0.9653</b>	<b>35.25</b>	<b>0.9296</b>	<b>32.93</b>	<b>0.9072</b>	<b>35.01</b>	<b>0.9498</b>	<b>41.00</b>	<b>0.9838</b>
EDSR [1]	x3	DIV2K	34.65	0.9280	30.52	0.8462	29.25	0.8093	28.80	0.8653	34.17	0.9476
HAN [2]	x3	DIV2K	34.75	0.9299	30.67	0.8483	29.32	0.8110	29.10	0.8705	34.48	0.9500
SAN [3]	x3	DIV2K	34.75	0.9300	30.59	0.8476	29.33	0.8112	28.93	0.8671	34.30	0.9494
IP-T [4]	x3	ImageNet	34.81	-	30.85	-	29.38	-	29.49	-	-	-
SwinIR [5]	x3	DIV2K+Flickr2K	35.04	0.9322	31.00	0.8542	29.49	0.8150	29.90	0.8841	35.28	0.9543
ACT [7]	x3	DIV2K+Flickr2K	35.09	0.9325	31.17	0.8549	29.55	0.8171	30.26	0.8876	35.47	0.9548
ART [8]	x3	DIV2K+Flickr2K	35.07	0.9325	31.02	0.8541	29.51	0.8159	30.10	0.8871	35.39	0.9548
EDT [9]	x3	DIV2K+Flickr2K	<b>35.13</b>	0.9328	<b>31.09</b>	0.8553	29.53	0.8165	30.07	0.8863	35.47	0.9550
HAT [10]	x3	DIV2K+Flickr2K	35.07	<b>0.9329</b>	31.08	<b>0.8555</b>	<b>29.54</b>	<b>0.8167</b>	<b>30.23</b>	<b>0.8890</b>	<b>35.53</b>	<b>0.9552</b>
CFAT-s (ours)	x3	DIV2K+Flickr2K	34.03	0.9323	31.06	0.8551	29.57	0.8158	30.18	0.8889	35.48	0.9547
CFAT (ours)	x3	DIV2K+Flickr2K	<b>35.31</b>	<b>0.9340</b>	<b>31.32</b>	<b>0.8569</b>	<b>29.70</b>	<b>0.8180</b>	<b>30.43</b>	<b>0.8928</b>	<b>35.82</b>	<b>0.9574</b>
EDSR [1]	x4	DIV2K	32.46	0.8968	28.80	0.7876	27.71	0.7420	26.64	0.8033	31.02	0.9148
HAN [2]	x4	DIV2K	32.64	0.9002	28.90	0.7890	27.80	0.7442	26.85	0.8094	31.42	0.9177
SAN [3]	x4	DIV2K	32.64	0.9003	28.92	0.7888	27.78	0.7436	26.79	0.8068	31.18	0.9169
IP-T [4]	x4	ImageNet	32.64	-	29.01	-	27.82	-	27.26	-	-	-
SwinIR [5]	x4	DIV2K+Flickr2K	32.93	0.9043	29.15	0.7958	27.95	0.7494	27.56	0.8273	32.22	0.9273
Swin2SR [6]	x4	DIV2K+Flickr2K	32.92	0.9039	29.06	0.7946	27.92	0.7505	27.51	0.8271	31.03	0.9256
ACT [7]	x4	DIV2K+Flickr2K	33.04	0.9041	29.27	0.7968	28.00	0.7516	27.92	0.8332	32.44	0.9282
ART [8]	x4	DIV2K+Flickr2K	33.04	0.9051	29.16	0.7958	27.97	0.7510	27.77	0.8321	32.31	0.9283
EDT [9]	x4	DIV2K+Flickr2K	<b>33.08</b>	0.9055	29.23	0.7971	27.99	0.7510	27.75	0.8317	32.39	0.9283
HAT [10]	x4	DIV2K+Flickr2K	33.04	<b>0.9056</b>	<b>29.28</b>	<b>0.7973</b>	<b>28.00</b>	<b>0.7517</b>	<b>27.97</b>	<b>0.8368</b>	<b>32.48</b>	<b>0.9292</b>
CFAT-s (ours)	x4	DIV2K+Flickr2K	32.01	0.9045	29.25	0.7972	27.99	0.7504	27.86	0.8358	32.45	0.9279
CFAT (ours)	x4	DIV2K+Flickr2K	<b>33.19</b>	<b>0.9068</b>	<b>29.30</b>	<b>0.7985</b>	<b>28.17</b>	<b>0.7524</b>	<b>28.11</b>	<b>0.8380</b>	<b>32.63</b>	<b>0.9305</b>

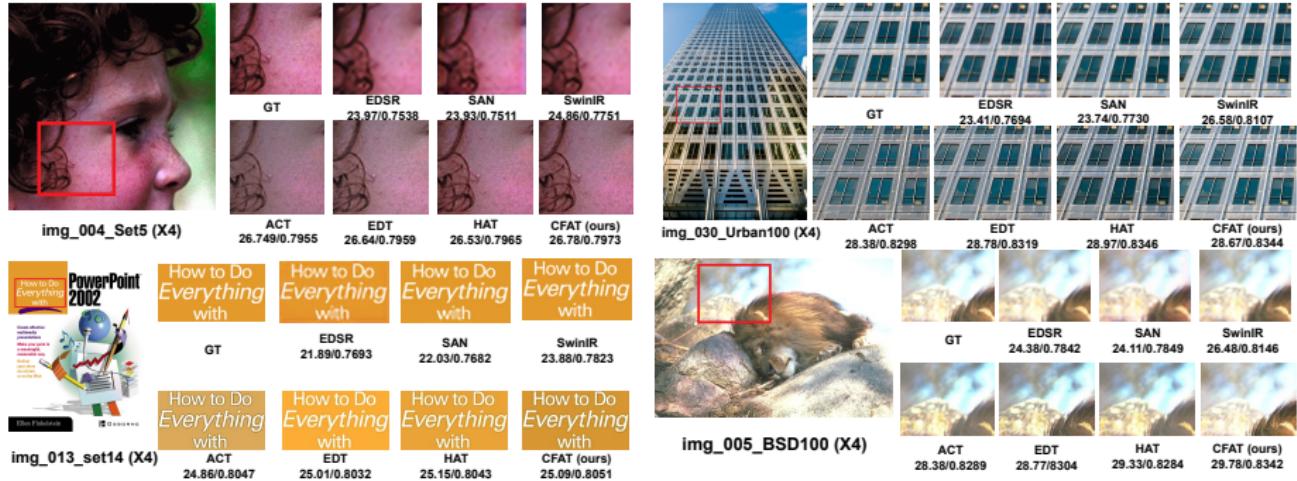


Figure 6: Visual Comparison of CFAT with other state-of-the-art methods.

# Local Attribute Map & Diffusion Index

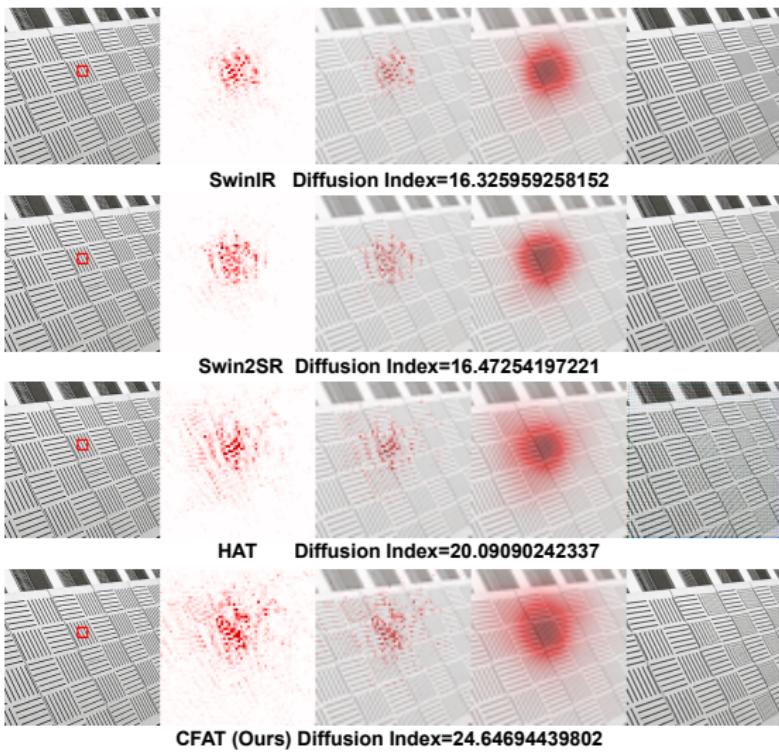


Figure 7: LAM results and corresponding Diffusion Index for CFAT and various SOTA methods.

Table 2: Analysis of CFAT based on channel counts.

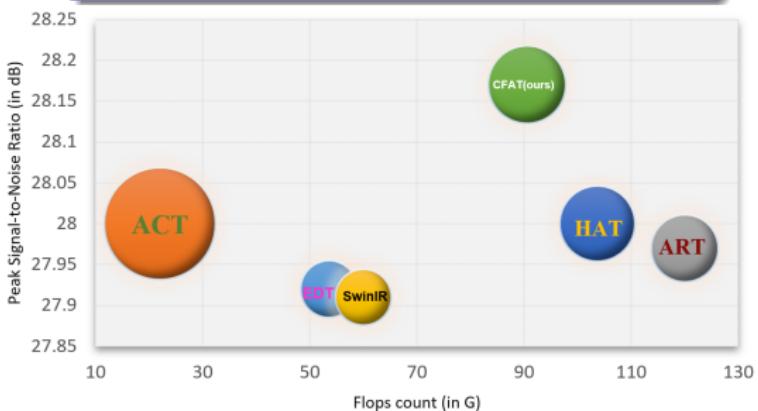
Channels	Params (M)	Multi-Adds (G)	PSNR/SSIM
192	25.01	102.6	28.18dB/0.7524
180	22.07	90.59	28.17dB/0.7524
144	14.35	59.22	27.99dB/0.7504
96	6.74	28.18	27.78dB/0.7469

Table 3: Analysis of CFAT based on model size.

Models	Params (M)	Multi-Adds (G)	PSNR/SSIM
CFAT-I	34.89	142.08	28.25dB/0.7531
CFAT	22.07	90.59	28.17dB/0.7524
CFAT-s	14.35	59.22	27.99dB/0.7504
CFAT-r	13.52	56.27	27.93dB/0.7498

Table 4: Model comparison based on computational cost

Methods	Params (M)	Multi-adds(GMac)	PSNR/SSIM
SwinIR	11.9	53.6	27.92dB/0.7489
ACT	46	22	28.00dB/0.7516
ART	16.55	120	27.97dB/0.7510
EDT	11.6	-	27.91/0.7483
HAT	20.8	103.7	28.00dB/0.7517
CFAT	22.07	90.59	28.17dB/0.7524



**Figure 8:** Performance vs Complexity plot of CFAT compare to other state-of-the-art models. **Performance:** PSNR (on X-axis) in dB. **Complexity:** Flops (on Y-axis) in G and Parameters (area of the circle) in M

## Conclusion

- ✓ We propose a triangular window attention technique that smoothly integrates with rectangular windows to eliminate boundary-level distortion and allows additional non-identical shifting modes for activating more input pixels that participated in the computer vision task.
- ✓ By incorporating the novel triangular window attention in dense, sparse, and shifted configuration, CFAT outperforms the other state-of-the-art models qualitatively and quantitatively.

## Future Scope

- ↗ Designing lightweight models for SISR using triangular window attention.
- ↗ Exploring the model for other computer vision tasks.

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